



## MEMORANDUM

To: Commission  
From: Jonathan Wayne, Executive Director  
Date: September 17, 2019  
Subject: Update on Janet Mills Inaugural Committee

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This memorandum is to update the Commission on the status of the committee that raised and spent money for Governor Janet Mills' inauguration and inaugural celebration. These two events were held at the Augusta Civic Center on January 2 and 4, 2019, respectively. The "inaugural committee" registered with the Commission on December 6, 2018, along with a separate committee of volunteers and staff who were setting up the new administration (referred to below as the "transition committee"). A 2015 law (enacted through citizen initiative) requires persons accepting donations for a Governor-elect's transition to office or inauguration to register and file two financial disclosure statements with the Commission (1 M.R.S. § 1051).

In late 2019, the inaugural committee received a price quotation of \$132,000 from the City of Augusta for food, services, and use of the Augusta Civic Center. In January 2020, the committee received a final invoice in the amount of \$194,902, which was \$62,902 higher than the price quoted. To settle this debt, it has been necessary for the committee to engage in unexpected fundraising. Beginning in February, one consultant has been primarily responsible for fundraising and administrative decisions for the committee. She was also assigned to wind up the affairs of Janet Mills' 2018 campaign committee.<sup>1</sup>

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<sup>1</sup> See letter from committee attorney Michael E. Carey, Esq. (May 17, 2019) at 3. That letter is attached, along with update-letters from Mr. Carey dated July 19 and September 17, 2019 (today).

The transition and inaugural committees filed the two required financial disclosure statements on January 2 and February 15, 2019.<sup>2</sup> At the request of the Commission staff, the inaugural committee voluntarily submitted additional financial disclosure statements on May 1 and July 26, 2019. *Another financial disclosure statement is expected to be filed on Tuesday, September 24, 2019, which we will email you later that day.*<sup>3</sup>

Candidate Registrar Emma Burke has reviewed all of these financial disclosure statements for compliance with 1 M.R.S. § 1051. To the best of the Commission staff's knowledge, the committees have complied with all of the requirements of the statute, except that the inaugural committee accepted donations after January 31, 2019 in order to pay for the debt to the Augusta Civic Center. This memo provides you with options for possible enforcement action concerning the acceptance of donations after January 31.

Inaugural committee's current financial status. According to today's update letter, the inaugural committee currently has cash on hand in the amount of \$17,067 and has received unfulfilled pledges totaling \$80,000. *The inaugural committee hopes to receive more donations in the next seven days and that its September 24 financial disclosure statement will show significantly higher donations received.* Currently, the committee owes \$84,902 to the City of Augusta for use of the Civic Center (according to my calculation) and owes another \$7,500 to others.

## **Summary of 2015 transition/inaugural committee law**

### *Transparency provisions*

- A transition/inaugural committee is required to file a registration with the Commission that identifies key personnel in the committee. (§ 1051(3)(A))

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<sup>2</sup> The registrations and statements are enclosed in the meeting packet for your reference and are available for the public to access at the Commission's website: <https://www.maine.gov/ethics/MillsTransition.html>.

<sup>3</sup> The Commission staff originally requested that this statement be filed on Friday, September 13. The inaugural committee asked for an opportunity to file a statement just before your Sept. 25 meeting. We agreed to the submission of a single statement on September 24 in order to reduce our workload and to simplify the presentation of facts to you at the September 25 meeting.

- Each transition/inaugural committee is required to file two financial disclosure statements (similar to campaign finance reports), which are due on the January 1<sup>st</sup> and February 15<sup>th</sup> after the general election.<sup>4</sup> (§ 1051(3)(E))

#### *Financial controls/limits*

- All funds received by the transition/inaugural committee must be deposited into a separate bank account. (§ 1051(2))
- The committee may accept donations until January 31<sup>st</sup> after the general election. (§ 1051(4))
- The law contains no limit on the amount that an individual or organization may donate to a transition/inaugural committee.
- The law does not restrict the sources of donations to a transition/inaugural committee, except that when the Legislature is in session, the committee may not accept a donation from a lobbyist or an organization that has hired a lobbyist.<sup>5</sup> (§ 1051(5)) This is the same session ban that applies to campaign contributions from lobbyists and their clients to Legislators, constitutional officers, the Governor, and members of their staffs.
- Prior to filing the final financial disclosure statement on February 15<sup>th</sup>, the committee must dispose of any surplus funds by returning them to donors or donating the funds to charities or to the State. (§ 1051(7))

The law does not expressly state the purpose for cutting off donations on January 31<sup>st</sup> or requiring the disposal of surplus funds before the filing of the February 15<sup>th</sup> statement.

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<sup>4</sup> Because January 1, 2019 was a state holiday, the Commission authorized the committees to file their first statement on January 2, 2019, pursuant to 1 M.R.S. § 71(13).

<sup>5</sup> Lobbyists register with the Commission annually and all registrations expire on November 30<sup>th</sup>. The First Regular Session of the 129<sup>th</sup> Legislature began on December 5, 2018. An individual is required to register as a lobbyist once they have engaged in compensated lobbying on behalf of a client for more than eight hours in a calendar month. Thus, a lobbyist can register for a client in December, January, February or even later in the session. The ban on lobbyist contributions does not apply to individuals who are not officially registered as lobbyists.

The Commission staff believes that these restrictions may have been designed to serve two reform objectives:

- preventing the transition/inaugural committee from turning into a permanent political/communications/advocacy fund for a new governor, and
- minimizing the appearance or reality that donations might affect legislative or appropriations decisions during the First Regular Session of a Legislature.

## **Factual Background**

### Gov. Mills' Inaugural Committee

According to Mr. Carey's May 17<sup>th</sup> letter (pages 1-2), two contractors made all operational decisions for the inauguration and the inaugural celebration. The two contractors were supported by a group of twelve volunteers, some of whom dedicated up to full work weeks organizing the inauguration and celebration. Fundraising for the events was initially conducted by two individuals until January 2019, when one of them became unavailable for personal reasons. During February-May 2019, fundraising for the inaugural committee fell to one consultant, Megan Gean-Gendron. She was also assigned to wrapping up Gov. Mills' 2018 political campaign.

To provide you with a sense of the committees' financial activities, this chart summarizes the cash receipts of the committees reported in their financial disclosure statements:

	<b>Cash received by Inaugural Committee</b>	<b>Cash received by Transition Committee</b>
Report due 1/2/2019	\$267,640	\$25,769
Report due 2/15/2019	\$117,475	\$16,561
Report filed 5/1/2019	\$2,545	n/a
Report filed 7/26/2019	\$10,500	n/a
Total	\$398,160	\$42,330

In addition, in today's update-letter, Mr. Carey reports that the inaugural committee received \$20,000 since your July 30 meeting.

Beginning in November 2018, Mr. Carey was in regular contact with Candidate Registrar Emma Burke seeking staff guidance on registering and filing financial disclosure statements. The Commission staff's advice through mid-December was summarized in a December 14, 2018 letter, which is among the enclosed materials. I have also attached a February 13<sup>th</sup> letter providing advice to Mr. Carey concerning the debt to the Augusta Civic Center.

### **Comments by Commission Staff**

As noted by Commissioners at your June 4<sup>th</sup> meeting, the transition/inaugural committee law (1 M.R.S. § 1051(9)) states that:

The commission shall administer and enforce this subchapter. A person who violates this subchapter is subject to a civil penalty not to exceed \$10,000, payable to the State and recoverable in a civil action.

#### *Potential finding of violation*

At your June 4<sup>th</sup> meeting, some Commissioners suggested it might be appropriate to find that the inaugural committee violated 1 M.R.S. § 1051(4) by accepting donations beyond January 31, 2019. Although the staff's initial approach in early February was not to view this issue as an enforcement matter, we agree there is a factual basis to find that a violation occurred, if you are inclined to make that determination.

#### *Authority to assess a penalty*

The Commission has discretion whether to assess a penalty for the violation, or not. The penalty provision in 1 M.R.S. § 1051(9) is similar to several statutes in Maine campaign finance law which authorize the Commission to assess, but do not require, a penalty. For example:

- A candidate who accepts an over-the-limit contribution “may be assessed a penalty” of no more than the amount by which the contribution exceeded the limit. (21-A M.R.S. § 1004-A(2))
- A person who makes a false statement or material misrepresentation in a document submitted to the Commission “may be assessed a penalty not to exceed \$5,000.” (21-A M.R.S. § 1004-A(5))
- A paid campaign communication that lacks the “paid for and authorized” disclosure statement “may result in a civil penalty” of up to the amount of the expenditure for the communication. (21-A M.R.S. § 1014(4))
- A person who violates the Maine Clean Election Act “is subject to a fine not to exceed \$10,000 per violation payable to the fund.” (21-A M.R.S. § 1127(1))

These statutes operate differently than the two late-filing penalty provisions which you administer most frequently for late-filed campaign finance reports, which impose a preliminary penalty. The filer must either pay the preliminary penalty or request a waiver from the Commission. (21-A M.R.S. §§ 1020-A & 1062-A)

*Reasons for and against assessing a penalty*

At the June 4<sup>th</sup> meeting, some Commissioners articulated rationales for assessing a penalty:

- The Commission’s role is to enforce the law as written, even if Commissioners agree that the law is restrictive.
- To *not* assess a penalty would be to ignore 1 M.R.S. § 1051(9) and its direction to the Commission “to administer and enforce” the statute.
- Most enforcement matters that come before the Commission relate to financial reporting deadlines. In order to encourage political participants to attend to these deadlines, the Commission has a track record of assessing penalties – even when candidates, treasurers or other committee officers acted in good faith.
- The Commission needs to strive for consistency. Assessing no penalty in this matter would be inconsistent with past decisions concerning financial reporting, and could cause others to argue that the Commission showed favorable treatment to the Mills inaugural committee.

At the same time, several reasons are present which argue for a nominal penalty or no penalty:

- Overall, the transition and inaugural committees demonstrated a high degree of diligence in meeting the requirements of 1 M.R.S. § 1051. The committees met the most important requirement of the law – disclosure and transparency of all their financial activity – and did so in a timely manner. The committees’ registration and financial disclosure statements contain detailed reporting that appears complete (all required information concerning contributors is disclosed; expenditure purposes are clearly stated; if payments were made by a subcontractor, that is noted correctly, *etc.*).
- Two factors complicated the inaugural committee’s challenge of raising an unexpected \$62,904, beginning in late-January. First, when the Legislature is in session it is more difficult for officials and their committees to collect larger donations. During February-June 2019, many of the associations, commercial entities, and advocacy groups that are active in Maine’s political life had hired lobbyists, which eliminated them as potential sources for donations. Also, one of the inaugural committee’s two fundraisers became unavailable unexpectedly in January, so fundraising was delegated to one consultant who also had the responsibility of winding down a much larger political campaign.
- In exercising its discretion as to amount of penalty, the Commission may wish to consider the purpose for which the money was raised and the small degree of public harm in this case. The inaugural committee reluctantly raised money after January 31<sup>st</sup> to pay a legitimate but unexpectedly large debt that could not be ignored. The committee was not raising money after January 31<sup>st</sup> for the purpose of paying excessive political consulting fees, personal expenses, or to create an ongoing political fund. During the critical policy-making months of February 1<sup>st</sup> - June 20<sup>th</sup>, the inaugural committee received relatively little (\$2,545), which reduces any concern of political influence from contributors.

- The statutory deadlines for collecting donations or winding down the committee's affairs were not based on the past experiences of the transition/inaugural committees of other governors. These committees were the first to operate under this law. Consequently, they were also the first to experience the impracticality of operating within the law's unrealistic timeframes and deadlines.

Thank you for your consideration of this memo.



## **1 M.R.S. § 1051. GUBERNATORIAL TRANSITION COMMITTEE**

**1. Definitions.** As used in this subchapter, unless the context otherwise indicates, the following terms have the following meanings.

- A. "Commission" means the Commission on Governmental Ethics and Election Practices. [2015, c. 1, §1 (NEW).]
- B. "Election cycle" means the period beginning on the day after the general election for any state, county or municipal office and ending on the day of the next general election for that office. [2015, c. 1, §1 (NEW).]

**2. Transition and inaugural activities; funding.** A person may solicit and accept donations for the purpose of financing costs related to the transition to office and inauguration of a new Governor. A person who accepts donations for these purposes must establish a committee and appoint a treasurer who is responsible for keeping records of donations and for filing a financial disclosure statement required by this section. All donations received must be deposited in a separate and segregated account and may not be commingled with any contributions received by any candidate or political committee or any personal or business funds of any person. An individual who has served as a treasurer of any candidate committee or political action committee in the same election cycle may not serve as treasurer of a gubernatorial transition committee.

**3. Registration with the commission and financial disclosure statement.** A committee established pursuant to this section shall register and file a financial disclosure statement with the commission as required by this subsection.

- A. The committee shall register with the commission within 10 days after appointment of a treasurer. The registration must include the name and mailing addresses of the members of the committee, its treasurer and all individuals who are raising funds for the committee. [2015, c. 1, §1 (NEW).]
- B. The financial disclosure statement must contain the names, addresses, occupations and employers of all donors who have given money or anything of value in a total amount exceeding \$50 to the committee, including in-kind donations of goods or services, along with the amounts and dates of the donations. Donors who have given donations with a total value of \$50 or less may be disclosed in the aggregate without itemization or other identification. [2015, c. 1, §1 (NEW).]
- C. Any outstanding loan, debt or other obligation of the committee must be disclosed as a donation. [2015, c. 1, §1 (NEW).]
- D. The financial disclosure statement must identify the amounts, dates, payees and purposes of all payments made by the committee. [2015, c. 1, §1 (NEW).]

E. An interim financial disclosure statement must be filed by 5:00 p.m. on January 1st following the gubernatorial election and must be complete as of 10 days prior to that date. The final financial disclosure statement must be filed by 5:00 p.m. on February 15th following the gubernatorial election and must be complete as of that date. [2015, c. 1, §1 (NEW).]

**4. Limitation on fund-raising activity.** A committee established pursuant to this section may accept donations until January 31st of the year following the gubernatorial election.

**5. Prohibited donations during a legislative session.** A committee established pursuant to this section may not directly or indirectly solicit or accept a donation from a lobbyist, lobbyist associate or employer during any period of time in which the Legislature is convened before final adjournment. A lobbyist, lobbyist associate or employer may not directly or indirectly give, offer or promise a donation to a committee established pursuant to this section during any period of time in which the Legislature is convened before final adjournment.

**6. Anonymous donations.** A committee established pursuant to this section may not accept an anonymous donation in excess of \$50.

**7. Disposing of surplus funds.** Prior to the filing of the final financial disclosure statement under subsection 3, paragraph E, any surplus funds remaining in the committee's account must be refunded to one or more donors, donated to a charitable organization that qualifies as a tax-exempt organization under 26 United States Code, Section 501(c)(3) or remitted to the State Treasurer.

**8. Rulemaking.** The commission may establish by routine technical rule, adopted in accordance with Title 5, chapter 375, subchapter 2-A, forms and procedures for ensuring compliance with this section.

**9. Enforcement and penalty.** The commission shall administer and enforce this subchapter. A person who violates this subchapter is subject to a civil penalty not to exceed \$10,000, payable to the State and recoverable in a civil action.

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**ATTORNEYS AND COUNSELORS AT LAW**

MICHAEL E. CAREY | Associate  
mcarey@brannlaw.com

May 17, 2019

State of Maine  
Commission On Governmental Ethics And Election Practices  
135 State House Station  
Augusta, ME 04333-0135

RE: Mills Inaugural Committee Fundraising

Commissioners:

Executive Director Jonathan Wayne wrote me, in my capacity as counsel to the Mills Inaugural Committee, to convey your request that the Committee provide information about funds due to the Augusta Civic Center and any fundraising that has been conducted after January 31<sup>st</sup> or is anticipated in the future. We are submitting this letter to comply with that request, and I plan to attend your June 4, 2019 meeting to answer any questions you may have. Thank you for providing that opportunity.

By way of background, as 11 o'clock approached on election night, it became increasingly clear that Attorney General Mills would win the 2018 Maine gubernatorial election. On Wednesday morning, the Attorney General immediately assumed her role as Governor-Elect and began forming a new administration.

Most of the energy and attention that had previously been devoted to winning the campaign now shifted to preparing to govern. A group of volunteer, subject-matter experts began working, at the Governor-Elect's direction, with members of the outgoing administration to prepare the necessary biennial budget. Meanwhile, the Governor-Elect called together a separate group of volunteers (the "Transition Committee") to begin the process of vetting and appointing candidates for cabinet positions, by managing the suggestions and applications that immediately began to arrive, soliciting candidates that matched the Governor-Elect's policy goals, processing applications and conducting interviews. The Transition Committee created this complex process from scratch, and operated through both paid staff and committed volunteers from across Maine.

While the Governor-Elect and incoming Chief-of-Staff Jeremy Kennedy drove the preparations for the new administration, paid staff and volunteers organized the official inauguration and the inaugural ball. Mr. Kennedy is the sole member of the Inaugural Committee and exercised primary budget authority and oversight. The two people who held fundraising and campaign finance compliance responsibilities for the Mills for Governor campaign were the

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Inaugural Committee's fundraisers and also responsible for its compliance with 1 M.R.S. § 1051. Two contractors made all operational decisions for the inauguration and inaugural ball. They were supported by twelve devoted and capable volunteers, some of whom devoted full work weeks to the inaugural work for the 6 weeks before the inauguration, which included the Christmas and New Year's Holidays (for ease of reference I will refer to the contractors and volunteers as "Event Organizers"). The Event Organizers divided the organizing work into general functional areas and the responsible person, whether paid or volunteer, liaised directly with the event vendors, including Augusta Civic Center personnel, to accomplish their task. The Event Organizers were supported in many critical ways by a separate volunteer committee.

Meanwhile, the Mills for Governor campaign began raising a significant amount of money to pay off campaign debt that had been accrued through election day.

### **Constitutional Requirements And Legislative Appropriations**

The Constitution provides that a Governor's term begins the first Wednesday after the first Tuesday of January next following the election. Me. Const. art. V, Pt. 1, § 2. It further provides that on that day, the Secretary of State shall lay the lists returned to the Secretary's office before the Senate and House of Representatives for examination, and they shall determine the number of votes duly cast for the office of Governor. Me. Const. art. V, Pt. 1, § 3. The Legislature made no appropriation for the inauguration, as has been the custom. The Legislature allocated \$5,000 for the Governor-Elect's transition. The State of Maine also provided the Governor-Elect's transition team with a room in the basement of the Cross Office Building.

### **1 M.R.S. § 1051**

#### **Financial Transparency Of a New Governor's Transition to Office and Inauguration**

In 2015, the people enacted 1 M.R.S. § 1051 by referendum, which requires the financial disclosure of donations and payments related to the transition to office and inauguration of a new Governor. This was the first Governor-Elect's transition and inauguration subject to the new law.

The people's purpose was clear—the financing of a new Governor's transition to office and inauguration must be transparent. The Governor-Elect determined to go beyond the law's requirements and to separate the financing of the transition activities from the financing of the inaugural activities, and to ensure that the transition activities—the work of preparing to govern—were not funded by donations from registered lobbyists or their employers. She directed the creation of a separate Transition Committee in order to comply with the people's will and the spirit of the new law.

And yet, as the statute was put into practice for the first time, 1 M.R.S. § 1051 appeared to contain ambiguities and generalities that in many ways made its practical application unclear. By statute, the Commission on Governmental Ethics and Election Practices was authorized to promulgate rules to detail the forms and procedures for ensuring compliance. The Commission

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has not yet promulgated rules. In order to comply with statute's provisions, I consulted with the Ethics Commission staff ("Staff") on multiple occasions, beginning two days after election day, to ensure that the Mills Transition and Inaugural Committees had every guidance then available, and that the Staff understood the Committees' plans.

### **Mills Inaugural Committee and Augusta Civic Center Invoice**

The Inaugural Committee's budget included the amount of \$132,000 for the use of Civic Center for the official inauguration and the inaugural ball, which aligned with the amount of the initial Civic Center invoice received. The Committee did not receive another preliminary invoice or other official estimate of the total charge before the final invoice. Yet, the Civic Center line item totals and item counts changed as the two events took shape. Those changes were not transmitted back to those responsible for fundraising and compliance or to Mr. Kennedy. The next Civic Center invoice was the final invoice sent on January 15, 2019 in an amount that was \$62,902 higher than the preliminary invoice on which the budget was based. This final invoice included a 20 percent food and beverage charge, in the amount of \$26,421, which was not included on the initial invoice.

Around January 15<sup>th</sup>, the person primarily responsible for fundraising was experiencing urgent and immediate personal issues that entirely removed the person's attention from Inaugural Committee tasks. It was only on January 25, 2019 that Mr. Kennedy and I became aware of the amount of the invoice and the existence of the budget discrepancy. That very day, I met with Staff to notify them of the issue. The Committee was required by 1 M.R.S. § 1051(4) to cease fundraising by January 31<sup>st</sup>. The other fundraiser worked valiantly in the remaining week, from Israel on account of a pre-planned trip, but was unable to materially affect the balance in such a short timeframe. After January 31<sup>st</sup>, I again met with Staff to seek guidance regarding how the Committee could meet its obligation to the Civic Center.

Since then, based on Staff guidance, the Inaugural Committee has continued fundraising. However, most of its activity to date has been administrative in nature. The Committee and the campaign have transferred primary responsibility for fundraising and administrative decision-making to Megan-Gean Gendron. Megan focused first on transferring records and learning the information systems and ensuring her authority to act on behalf of the entities.

A Governor's inauguration is a Constitutionally prescribed transfer of power. Maine's practice has been to vest the incoming Governor, or her supporters, with the responsibility of funding the ceremony. This year, for the first time, 1 M.R.S. § 1051 specified how fundraising shall be done. Less than two days after Governor Mills won the election, the Mills Inaugural Committee initiated a detailed conversation with Ethics Commission Staff to ensure that it met the requirements of the statute. Indeed, the Governor-Elect directed her staff and supporters to go beyond the law's requirements to meet the peoples' expectations of transparency and good government; she directed us to separate the funding of the transition to power and the development

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of the new administration's first budget and policy from fundraising from members of the lobby and their employers. Throughout this process, the Mills Inaugural Committee repeatedly sought, in good faith, guidance from Ethics Commission Staff.

The committee has made progress in resolving the debt. To date, it has paid \$75,000 of the originally outstanding \$195,000 and the committee has on hand nearly \$53,000 that will be used to further reduce the remaining amount. The committee will work vigorously to continue to pay off the debt in the coming months.

Very truly yours,

BRANN & ISAACSON



Michael E Carey

MEC/clm

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MICHAEL E. CAREY | Associate  
mcarey@brannlaw.com

July 19, 2019

State of Maine  
Commission On Governmental Ethics And Election Practices  
135 State House Station  
Augusta, ME 04333-0135

RE: Mills Inaugural Committee Fundraising

Commissioners:

I write with an update on the Mills Inaugural Committee fundraising during the past six weeks, as you requested at your June 4, 2019 meeting. As I wrote on May 19, 2019, Governor-Elect Mills directed the Inaugural and Transition Committees to limit lobbyists' donations (by "lobbyist", we include lobbyist associates and their employers) to the Inaugural Committee and to ensure that such donations do not fund costs relating to the preparation to govern. Most of the money raised by the Inaugural Committee was donated lobbyists. The Committee has complied with the prohibition, in 1 M.R.S. § 1051(5), from soliciting or accepting donations from lobbyists during a legislative session. In the four weeks since the Legislature adjourned *sine die*, the Committee received \$10,500 and secured additional pledges of \$40,000. It has paid \$100,000 to the Augusta Civic Center and continues fundraising for the rest.

As detailed in my prior letter, the Committee learned of the shortfall on receipt of the Civic Center's final invoice, which was significantly higher than the initial and only other invoice. The Committee's member learned of the shortfall one week before the statutory fundraising deadline. The shortfall resulted from the convergence of the short time remaining and fundraisers' personal and schedule issues. The Inaugural Committee has worked in good faith to meet its contract and legal obligations. Accordingly, it requests that this Commission not assess a civil penalty for accepting donations after January 31, 2019.

We look forward to resolving this issue.

Very truly yours,

BRANN & ISAACSON



Michael E Carey

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MICHAEL E. CAREY | Associate  
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September 17, 2019

State of Maine  
Commission On Governmental Ethics And Election Practices  
135 State House Station  
Augusta, ME 04333-0135

RE: Mills Inaugural Committee Fundraising

Commissioners:

I write with an update on the Mills Inaugural Committee fundraising as you requested at your July 25, 2019 meeting.

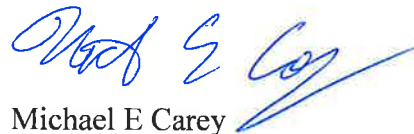
The Inaugural Committee has paid the Augusta Civic Center \$110,000 of the \$194,902.00 invoice, the amount of which the Inaugural Committee has not contested and does not currently intend to contest. Since the July 25, 2019 meeting, the Committee has received additional donations of \$20,000. If the period ended today, a financial statement would show a \$17,067.22 cash balance. Outstanding pledges total \$80,000 and other unpaid invoices total \$7,500.

My prior letters and my comments at your June 4, 2019 and July 25, 2019 meetings, which I incorporate by reference, have detailed why this Commission should not find that the Inaugural Committee committed a violation or, alternatively, should not assess a civil penalty if it does find that the Committee committed a violation. In summary, the Committee learned of the shortfall on receipt of the final invoice, which was significantly higher than the Civic Center's initial and only other invoice. The Committee's member learned of the shortfall one week before the statutory fundraising deadline. The shortfall resulted from the convergence of the short time remaining and fundraisers' personal and schedule issues. The Inaugural Committee has worked in good faith to meet its contract and legal obligations.

The Inaugural Committee looks forward to resolving this issue.

Very truly yours,

BRANN & ISAACSON

  
Michael E Carey

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STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
135 STATE HOUSE STATION  
AUGUSTA, MAINE  
04333-0135

December 14, 2018

*By Email and Regular Mail*

Michael E. Carey, Esq.  
Janet Mills for Governor  
P.O. Box 110  
Farmington, Maine 04938

**Re: Advice – Gubernatorial Transition/Inaugural Committee**

Dear Mr. Carey:

Thank you for seeking advice from the Commission on Governmental Ethics and Election Practices concerning registration and financial reporting by the transition/inaugural committee of Governor-elect Janet Mills. This letter represents a consolidation of guidance provided to you last month through a November 8, 2018 letter from Candidate Registrar Emma Burke, and various emails and telephone conversations with the Commission staff.

**Registration**

A transition/inaugural committee established pursuant to 1 M.R.S.A. § 1051 must register with the Commission. The committee must be registered with the Commission within 10 days of appointing a treasurer; a treasurer must be appointed by the Governor-elect once donations have been accepted. The statute does not specify a date range within which the treasurer must be appointed; however, the Commission staff suggests appointing the treasurer no later than 10 days after accepting donations.

The Transition/Inaugural Committee Registration form must include the names and mailing addresses of the members of the committee, the treasurer, and all individuals who are raising funds for the committee. Only individuals who are primarily responsible for decision-making and/or fundraising for the transition/inaugural committee are required to be named on the registration as committee members and/or fundraisers. The committee does not need to list anyone whose transition/inaugural activities are unrelated to soliciting, receiving or spending money. Volunteer fundraisers who are acting externally of the transition/inaugural committee are not required to be named on the registration; only those individuals who are tasked with fundraising responsibilities by the official transition/inaugural committee are required to be included on the registration. The form must be signed by the treasurer of the committee.

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Michael E. Carey, Esq.

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December 14, 2018

You informed Emma Burke that the Governor-elect was interested in forming two committees – one for the inauguration and one for transitioning state government to a new administration. In a November 16, 2018 email, I advised (after conferring with Commission Counsel) that the Commission staff believes the two-committee organization would comply with 1 M.R.S.A. § 1051, provided that the committees have separate bank accounts and each committee registers and files two disclosure statements.

### **Donations**

The transition/inaugural committee may accept donations until January 31, 2019. All funds received for purposes of the transition to office or the inauguration must be deposited into the separate bank accounts, and may not be deposited in another account containing any personal or business funds or the campaign funds of any candidate or political committee.

The statute does not restrict the sources of donations to the committee, other than lobbyists, lobbyist associates and their clients during a legislative session (discussed below). The statute also does not place a limit on the amount a person may donate to the committee. The Governor-elect may donate surplus funds from her campaign committee to the transition/inaugural committee (*see* 21-A M.R.S.A. § 1017(8)(G)).

When the Legislature is in session, the committee may not solicit or accept donations from a person who is registered as a lobbyist or lobbyist associate at the time of the solicitation or donation. The prohibition during the session also applies to a client of a registered lobbyist.

For donors who contribute a total amount in excess of \$50, the committee must record and report the donors' names and addresses, and, for individual donors, their occupations and employers. The Commission staff will be expecting the transition/inaugural committee to adhere to the same good-faith practices to request and obtain employment and occupation information as were implemented by the gubernatorial campaign. It is understood that some donors decline to disclose their employment situation to political candidates or to a Governor-elect.

The transition/inaugural committee is prohibited from accepting anonymous donations in excess of \$50. Additionally, the Commission staff would like to make the committee aware that candidates for state, county, and local offices in Maine and their committees (other than the Governor-elect) may not use surplus campaign funds to donate to the transition/inaugural committee, as such a donation is not an allowable use of surplus campaign funds under 21-A M.R.S.A. § 1017(8).

The income from sales of \$20 tickets to the inaugural event are not considered donations. Please report that income under the heading of Other Receipts on the summary page of the report, along with any food or beverage sales at the event.

### **Bank Accounts**

You advised that the bank of the transition/inaugural committees has suggested opening the committees' accounts as sub-accounts to the existing account for the Janet Mills for Governor campaign. The money in the subaccounts would not be commingled with campaign funds and each account would have a separate statement. The Commission staff believes this organization meets the requirements of 1 M.R.S.A. § 1051.

### **Paying for Transition Staff**

In a November 21, 2018 phone call with Assistant Director Paul Lavin, you explained that most members of the transition staff were formerly staff of the Janet Mills for Governor campaign. The campaign used the services of a payroll processing firm. The guidance from the Commission staff is that the transition/inaugural committees may rely on the Janet Mills for Governor campaign to process payroll for the transition/inaugural committees. The campaign would pay the transition staff from the campaign account, and the transition/inaugural committees would reimburse the campaign for all payroll costs (*e.g.*, salary, taxes, fees, payroll processing fee.) The transition/inaugural committees would include on their financial disclosure statements the amount of salary to each person, as well as taxes and fees.

### **Reporting**

Each transition/inaugural committee is required to file two financial disclosure statements (referred to below as "reports"). The first report ("Pre-Inauguration Report") is due on the January 1<sup>st</sup> after the election, and must be complete as of 10 days before that date (December 22<sup>nd</sup>). Because January 1<sup>st</sup> is a federal and state holiday, the Pre-Inauguration Report is due no later than 5:00 p.m. on January 2<sup>nd</sup> (*see* 1 M.R.S.A. § 71(13)). The reporting period for the Pre-Inauguration Report is November 7, 2018 – December 22, 2018.

The second report ("Post-Inauguration Report") is due on February 15<sup>th</sup> by 5:00 p.m., and must be complete as of that date. Therefore, the reporting period for the Post-Inauguration Report is December 23, 2018 - February 15, 2019.

As mentioned above, each transition/inaugural committee must itemize all donations from donors who have given in excess of \$50 total, disclosing the names and addresses of the donors, the type of contributor, and the occupations and employers for individual donors, as well as the dates and amounts of the donations. This is the case for both cash and in-kind donations. The committee may report donations from donors who have given \$50 or less in total as an unitemized lump sum.

Each transition/inaugural committee must also report all in-kind donations, which are donations of goods or services with a monetary value. This would include any

Michael E. Carey, Esq.

Page 4

December 14, 2018

unreimbursed payments made by the gubernatorial campaign for goods or services for the transition/inaugural committee. The reports must identify the donor information as specified above, as well as a description of the goods or services donated.

Each transition/inaugural committee must report all payments it made during the reporting period, identifying the payees, payee types, amounts, dates, and purposes of the payments. The date to be used for reporting purposes is the date the payment was made to the payee by the committee. For the Pre-Inauguration Report, the committee should not report unpaid debts or obligations. If unpaid debts and obligations remain as of February 15<sup>th</sup>, the transition/inaugural committee must report those as in-kind donations from the vendors in the committee's Post-Inauguration Report.

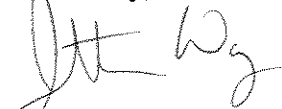
### **Errors in Reporting**

The Commission staff appreciates that fundraising for the inauguration and transition will be conducted in a short time period by multiple individuals, including volunteers who may have little supervision by the transition/inaugural committees. Given all of these factors, if the committees find that they have filed a report that contains omissions or inaccuracies, they will be able to amend the reports. We would appreciate you providing Ms. Burke with one or two days notice if the committees find that amendments are necessary.

Based on principles in Maine campaign finance law and Commission Rules,<sup>1</sup> as long each report is substantially correct the Commission staff does not intend to bring corrections or omissions to the Commission's attention for any finding of violation or consideration of late-filing penalties. If any complaint or request for investigation is filed from an outside source arguing that the reports should be viewed as late or deficient, the Commission staff intends to apply the same mitigating factors set out in 21-A M.R.S.A. § 1020-A(2) (proportionality to public harm, obstacles to complete reporting that were outside the control of the committee, *etc.*).

Please let me know if you have any questions concerning the advice letter.

Sincerely,



Jonathan Wayne  
Executive Director

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<sup>1</sup> 21-A M.R.S.A. §1020-A(2) and Commission Rules, Section 4(2)(A)



STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
135 STATE HOUSE STATION  
AUGUSTA, MAINE  
04333-0135

February 13, 2019

*By Email and Regular Mail*

Michael E. Carey, Esq.  
Janet Mills for Governor  
P.O. Box 110  
Farmington, Maine 04938

Dear Mr. Carey:

Thank you for meeting with the staff of the Commission on Governmental Ethics and Election Practices on February 7, 2019 concerning the plans of the Mills Inaugural Committee to complete its financial activities. We appreciate the committee's ongoing communication with the Commission staff concerning compliance with the gubernatorial transition committee law. (1 M.R.S. § 1051)

Under this law, a transition/inaugural committee:

- must file two financial disclosure statements on the January 1<sup>st</sup> and February 15<sup>th</sup> following the gubernatorial election, and
- may accept donations until January 31<sup>st</sup>.

As we discussed, this is a recent law enacted in 2015 through a citizen initiative that the Commission is administering for the first time. In the opinion of the Commission staff, some of the deadlines in the current law are unworkable – such as the final disclosure deadline of February 15<sup>th</sup> and a fundraising cut-off date (January 31) that is just a few weeks after the inaugural activities take place.

#### **Acceptance of Donations after January 31**

At our February 7, 2019 meeting, you explained that the committee was presented with an invoice from a vendor in an amount significantly higher than the cost quoted by the vendor upon engagement. Until the committee received the invoice, the committee had received no indication from the vendor that the billed amount would be higher than the quoted amount. Although the committee intends to negotiate with the vendor, it is likely the committee will be required to make expenditures that are somewhat higher than the amount of donations in the committee's budget.

The Commission staff appreciates that this unexpected development places the inaugural committee in a difficult position. Nevertheless, the gubernatorial transition committee

Michael E. Carey, Esq.  
Page 2  
February 13, 2019

law states that funds may be accepted until January 31<sup>st</sup> and no later. (1 M.R.S. § 1051(4)) The Commission and its staff is not authorized by § 1051 to grant a waiver or exception to this January 31<sup>st</sup> limitation.

The decision of how to proceed belongs with the inaugural committee. The Commission staff believes that the most practical course of action is to continue raising funds beyond the January 31<sup>st</sup> limitation in order to resolve the committee's financial commitments. The staff's view and guidance on this is not binding on the Commission which may have a different viewpoint.

The Commission staff is likely to bring this matter up for discussion at an upcoming meeting of the Commission, probably on March 6 or April 30, 2019. If this matter were to be the subject of an enforcement proceeding, the Commission staff would not recommend the assessment of any civil penalty if the Commission were to find that fundraising by the committee beyond January 31 violated § 1051(4).

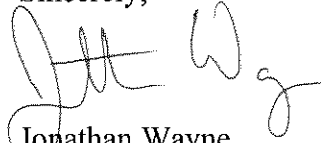
### **Financial Disclosure**

The Commission staff appreciates the inaugural committee's commitment to make full disclosure of its financial activities. Although the law contemplates that a transition/inaugural committee will file a "final" statement on February 15, the Commission staff requests that the committee file a supplemental statement on May 1, 2019. We acknowledge that one or two additional supplemental statements may be required later after May 1<sup>st</sup>, because the committee has indicated that it will have some financial activities related to payroll taxes or other administrative personnel expenses that will be concluded later in 2019.

With regard to the invoice from the vendor referred to above, the Commission staff suggests listing the amount in the Payments section of the form that the committee will file on February 15. Based on the language of 1 M.R.S. § 1051, the form for the inaugural committee's disclosure statements does not include a section for debts. Please include a notation in the Description field that the amount is not final. Please exclude this amount from the Total Payments sum, because the committee has not paid this amount.

Please contact Candidate Registrar Emma Burke or me if you have any questions.

Sincerely,



Jonathan Wayne  
Executive Director



STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
135 STATE HOUSE STATION  
AUGUSTA, MAINE  
04333-0135

July 31, 2019

*By Email and Regular Mail*  
Michael E. Carey, Esq.  
Brann & Isaacson, LLP  
P.O. Box 3070  
Lewiston, Maine 04243-3070

Dear Mr. Carey,

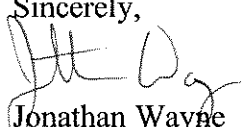
Thank you for your presentation at yesterday's meeting of the Maine Commission on Governmental Ethics and Election Practices.

*Next Commission meeting.* This letter is to confirm that the Commission decided to continue its consideration of the Mills inaugural committee until its next meeting on Wednesday, September 25, 2019 at 9:00 a.m. at the Commission Office, 45 Memorial Circle in Augusta.

*Written update and financial disclosure statement.* Please provide a short letter by Friday, September 13, 2019 addressing whether the committee has concluded receiving donations, continues to owe any debts, and possesses any funds. Also, please file a financial disclosure statement on September 13, 2019.

*Notice of possible finding of violation and penalty.* At the September 25, 2019 meeting, the Commission may consider whether to find that the inaugural committee violated 1 M.R.S.A. § 1051(4) by accepting donations after the January 31, 2019 limitation and whether to assess a civil penalty under 1 M.R.S.A. § 1051(9). If you would like to provide any further comments on these possible enforcement actions, you are welcome to speak to them at the September 25 meeting or in the letter due September 13.

If you have any questions, please call me at 287-4179 or email me at [Jonathan.Wayne@maine.gov](mailto:Jonathan.Wayne@maine.gov). Thank you.

Sincerely,  
  
Jonathan Wayne  
Executive Director



DEC 06 2018

**2018-2019 TRANSITION & INAUGURAL COMMITTEE REGISTRATION**

**Notice:** Changes to registration information must be filed within 10 days in writing or by e-mail to the Commission.

Is this an amendment?     Yes     No

1. COMMITTEE & GOVERNOR-ELECT INFORMATION	
Name of Committee: Mills Inaugural Committee	
Name of Governor-Elect: Janet T. Mills	
<u>Mailing Address of Committee:</u>	
Street: PO Box 5115	
City: Augusta	
ZIP: 04332	
Primary Contact Email: inaugural@janetmills.com	Primary Contact Phone: (207) 209-4270

2. TREASURER* INFORMATION							
Name:	<table border="0"> <tr> <td style="text-align: right;">First</td> <td style="text-align: center;">Middle</td> <td style="text-align: left;">Last</td> </tr> <tr> <td>Bonnie</td> <td></td> <td>Porta</td> </tr> </table>	First	Middle	Last	Bonnie		Porta
First	Middle	Last					
Bonnie		Porta					
<u>Mailing Address:</u>							
Street: 3 Bacchus Pl							
City: Cape Elizabeth							
ZIP: 04107							
Email: MCarey@brannlaw.com	Phone: (207) 786-3566						

\*A treasurer for a Transition & Inaugural Committee cannot have served as treasurer for the Governor-Elect, any other candidate, or for a PAC during the 2017-2018 election cycle.



3.

**COMMITTEE MEMBERS & FUNDRAISERS**

Name: Jeremy Kennedy

Committee Member  Fundraiser

Mailing Address:

Street: 53 Thomas St

City: Portland

ZIP: 04102

Name: Benjamin Gagnon

Committee Member  Fundraiser

Mailing Address:

Street: 16 Shaker Rd

City: Gray

ZIP: 04039

Name: Megan Gean Gendron

Committee Member  Fundraiser

Mailing Address:

Street: 11 Sentry Hill Rd

City: York

ZIP: 03909

Name: Run the World Digital

Committee Member  Fundraiser

Mailing Address:

Street: 4209 Dandridge Terrace

City: Alexandria

ZIP: 22309

Name:

Committee Member  Fundraiser

Mailing Address:

Street:

City:


ZIP:

4.

**CERTIFICATION**

I, Bonnie Porta, certify that the information in this registration is true, accurate and complete, and that  
(Print Treasurer's Full Name)

this is an official and authorized transition/inaugural committee of Governor-Elect Janet T. Mills.

Signature of Treasurer   
Bonnie Porta (Dec 6, 2018)

Date Dec 6, 2018

**Committee Name:** Mills Inaugural Committee  
**Report Name:** Pre-Inauguration Report  
**Reporting Period:** November 7 - December 22, 2018  
**Due Date:** January 2, 2019  
**Amended February 19, 2019**

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### Financial Activity Summary

<b>Donations</b>	<b>Report Total</b>	<b>Committee Total</b>
Cash Donations	\$ 256,500.00	
Other Receipts (Interest, etc.)	\$ 11,140.00	
<b>Total Donations</b>	<b>\$ 267,640.00</b>	<b>\$ -</b>
<b>Payments</b>	<b>Report Total</b>	<b>Committee Total</b>
<b>Total Payments</b>	<b>\$ 49,963.64</b>	
<b>Cash Summary</b>	<b>Report Total</b>	<b>Committee Total</b>
Previous Cash Balance	\$ -	
<i>Plus</i> Total Donations	\$ 267,640.00	
<i>Minus</i> Total Payments	\$ 49,963.64	
<b>Cash Balance at End of Period</b>	<b>\$ 217,676.36</b>	
<b>Other Activity</b>	<b>Report Total</b>	<b>Committee Total</b>
In-Kind Donations	\$ 15,635.00	

Donations

Date Received	Donor Type	Donor	Donor Employer & Occupation	Amount
11/29/2018	Business	ReEnergy Holdings LLC 30 Century Hill Dr Ste 101 Latham, NY 12110		\$ 2,500.00
12/4/2018	Business	American Chemistry Council 700 2nd St NE Washington, DC 20002		\$ 2,500.00
12/4/2018	Business	Anthem, Inc, 3075 Vandercar Way Cincinnati, OH 45209		\$ 10,000.00
12/4/2018	Business	Apex Clean Energy 310 4th St NE Ste 200 Charlottesville, VA 22902		\$ 2,500.00
12/4/2018	Business	Bernstein Shur 100 Middle St Portland, ME 04101		\$ 10,000.00

Donations

12/4/2018	Business	Central Maine & Quebec Railway US, Inc.  700 Main St  Ste 3  Bangor, ME 04401		\$ 2,500.00
12/4/2018	Business	Charter Communications  118 Johnson Rd  Portland, ME 04102		\$ 10,000.00
12/4/2018	Business	Consolidated Communications  5 Davis Farm Rd  Portland, ME 04103		\$ 5,000.00
12/4/2018	Business	Eastern Maine Railway Company  31 Depot St  Mattawamkeag, ME 04459		\$ 5,000.00
12/4/2018	Business	First Atlantic Healthcare, Inc  100 Waterman Dr  South Portland, ME 04106		\$ 2,500.00
12/4/2018	Business	Hollywood Casino Bangor  500 Main St  Bangor, ME 04401		\$ 10,000.00

Donations

12/4/2018	Business	Irving Forest Products, Inc. 24 Hall Hill Rd Dixfield, ME 04224		\$ 5,000.00
12/4/2018	Business	Irving Woodlands, LLC 1798 St John Rd St John Plt, ME 04743		\$ 5,000.00
12/4/2018	Business	L.L. Bean, Inc. 15 Casco St Freeport, ME 04033		\$ 10,000.00
12/4/2018	Business	MAINE CREDIT UNION LEAGUE LAC PO Box 1236 Portland, ME 04104		\$ 10,000.00
12/4/2018	Business	Remedy Compassion Center, Inc. 730 Center St Ste 1C Auburn, ME 04210		\$ 10,000.00
12/4/2018	Business	Sazerac Company, Inc. 10101 Linn Station Rd Ste 400 Louisville, KY 40223		\$ 10,000.00

Donations

12/4/2018	Business	Unum Group 2211 Congress St Portland, ME 04102		\$ 10,000.00
12/4/2018	Business	Waste Management, Inc. PO Box 3027 Houston, TX 77253		\$ 5,000.00
12/4/2018	Political Action Committee	Maine Association of Realtors PAC 19 Community Dr Augusta, ME 04330		\$ 5,000.00
12/4/2018	Political Action Committee	Maine Forest Legacy PAC 535 Civic Center Dr Augusta, ME 04330		\$ 1,500.00
12/5/2018	Business	Oxford Casino 777 Casino Way Oxford, ME 04270		\$ 15,000.00
12/5/2018	Business	Spectrum Healthcare Partners, PA 324 Gannett Dr Ste 200 South Portland, ME 04106		\$ 10,000.00

Donations

12/6/2018	Business	Ocean Properties, LTD 1000 Market St Unit 300 Portsmouth, NH 03801		\$ 2,500.00
12/6/2018	Business	Pierce Atwood, LLP 254 Commercial St Portland, ME 04101		\$ 10,000.00
12/6/2018	Political Action Committee	MAINE ASSOCIATION OF NURSE ANESTHETISTS PAC 345 Fairway Dr Auburn, ME 04210		\$ 1,000.00
12/10/2018	Business	Maine Organic Therapy 9 Carriage Rd Ellsworth, ME 04605		\$ 10,000.00
12/11/2018	Business	ND Paper, Inc. 5 MEYERS Rd Ste 600 Oakbrook Terrace, IL 60101		\$ 15,000.00
12/11/2018	Business	Woodland Pulp, LLC 144 Main St Baileyville, ME 04694		\$ 2,500.00



Donations

12/11/2018	Business	Maine Chiropractic Association 58 Albert St Lewiston, ME 04240		\$ 1,000.00
12/12/2018	Business	Enbridge, Inc. 5400 Westheimer Ct Houston, TX 77056		\$ 10,000.00
12/15/2018	Business	<b>Drummond Woodsum 84 Marginal Way Ste 600 Portland, ME 04101</b>	amended to add	\$ 5,000.00
12/15/2018	Business	<b>Eaton Peabody 80 Exchange Street Bangor, ME 04401</b>	amended to add	\$ 500.00
12/15/2018	Business	<b>Maine Employer's Mutual Insurance Company 261 Commercial St Portland, ME 04101</b>	amended to add	\$ 10,000.00
12/17/2018	Business	DXC Technology 45 Commerce Dr Ste 7 Augusta, ME 04330		\$ 5,000.00
12/21/2018	Business	Wellness Connection of Maine 685 Congress St Portland, ME 04102		\$ 25,000.00
<b>Total Donations</b>				<b>\$ 256,500.00</b>

Payments

Date Made	Payee Type	Payee	Description	Amount
11/15/2018	Individual	Emma M Collins 184 E Fork Rd Camden, ME 04843	Salary	\$ 754.55
11/15/2018	Individual	Jacqueline Morris 11 Sentry Hill Rd York, ME 03909	Salary	\$ 607.72
11/15/2018	Business	Payroll Data Processing 4224 Henderson Blvd Tampa, FL 33629	Payroll Taxes	\$ 1,574.42
11/15/2018	Individual	Scott Ogden 105 Pine St Apt 3 Portland, ME 04102	Salary	\$ 506.93
11/15/2018	Individual	Jeremy Kane Kennedy 53 Thomas St Unit 3 Portland, ME 04102	Salary	\$ 924.94
11/15/2018	Individual	Jenna Vendil 38 Vesper St Apt 3 Portland, ME 04101	Salary	\$ 933.43

Payments

11/30/2018	Business	Brann & Isaacson Attorneys and Counselors At Law PO Box 3070 Lewiston, ME 04243	Legal Fees	\$ 2,247.00
11/30/2018	Individual	Emma M Collins 184 E Fork Rd Camden, ME 04843	Salary	\$ 1,509.10
11/30/2018	Individual	Jacqueline Morris 11 Sentry Hill Rd York, ME 03909	Salary	\$ 1,215.44
11/30/2018	Business	Payroll Data Processing 4224 Henderson Blvd Tampa, FL 33629	Payroll Taxes	\$ 3,145.90
11/30/2018	Individual	Scott Ogden 105 Pine St Apt 3 Portland, ME 04102	Salary	\$ 1,013.86
11/30/2018	Individual	Jeremy Kane Kennedy 53 Thomas St Unit 3 Portland, ME 04102	Salary	\$ 1,849.87

## Payments

11/30/2018	Individual	Jenna Vendil 38 Vesper St Apt 3 Portland, ME 04101	Salary	\$ 1,866.85
12/1/2018	Individual	Megan C Gean-Gendron 11 Sentry Hill Rd York, ME 03909	Fundraising Consultant	\$ 5,000.00
12/3/2018	Business	Google, Inc. 1600 Amphitheatre Pkwy Mountain View, CA 94043	Google Office Suite	\$ 110.00
12/5/2018	Business	TD Bank 101 Western Ave Augusta, ME 04330	Bank Fee	\$ 15.00
12/10/2018	Business	Staples 14 Crossing Way Ste 3 Augusta, ME 04330	Office Supplies	\$ 37.74
12/11/2018	Business	NGP Van Inc. PO Box 392264 Pittsburgh, PA 15251	Database Software	\$ 1,250.00
12/11/2018	Business	United States Postal Service 125 Forest Ave Portland, ME 04101	Postage	\$ 50.00

Payments

12/12/2018	Business	United States Postal Service 125 Forest Ave Portland, ME 04101	Postage	\$ 482.10
12/13/2018	Business	TD Bank 101 Western Ave Augusta, ME 04330	Bank Fee	\$ 8.00
12/14/2018	Business	Run the World Digital 4209 Dandridge Ter Alexandria, VA 22309	Fundraising Consulting	\$ 3,500.00
12/15/2018	Individual	Emma M Collins 184 E Fork Rd Camden, ME 04843	Salary	\$ 1,509.10
12/15/2018	Individual	Jacqueline Morris 11 Sentry Hill Rd York, ME 03909	Salary	\$ 1,215.44
12/15/2018	Individual	Benjamin M Gagnon 16 Shaker Rd Gray, ME 04039	Salary	\$ 1,876.90
12/15/2018	Business	Payroll Data Processing 4224 Henderson Blvd Tampa, FL 33629	Payroll Taxes	\$ 4,028.90

## Payments

12/15/2018	Individual	Scott Ogden 105 Pine St Apt 3 Portland, ME 04102	Salary	\$ 1,013.86
12/15/2018	Individual	Jeremy Kane Kennedy 53 Thomas St Unit 3 Portland, ME 04102	Salary	\$ 1,849.87
12/15/2018	Individual	Jenna Vendil 38 Vesper St Apt 3 Portland, ME 04101	Salary	\$ 1,866.85
12/17/2018	Business	Community Health Options 150 Mill St Lewiston, ME 04240	Health Insurance - Reimbursed to Scott Ogden	\$ 499.87
12/20/2018	Business	Baldacci Communications 533 Congress St Portland, ME 04101	Consulting	\$ 7,500.00
<b>Total Payments</b>				<b>\$ 49,963.64</b>

## In-Kind Donations

Date Received	Donor Type	Donor	Donor Employer	Donor Occupation	Description	Amount
12/18/2018	Business	Transformit 33 Sanford Dr Gorham, ME 04038			production rentals	\$ 15,635.00
<b>Total In-Kind Donations</b>						<b>\$ 15,635.00</b>

**Committee Name:** Mills Inaugural Committee  
**Report Name:** Post-Inauguration Report  
**Reporting Period:** December 23, 2018 - February 15, 2019  
**Due Date:** Friday, February 15, 2019

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## Financial Activity Summary

<b>Donations</b>	<b>Report Total</b>	<b>Committee Total</b>
Cash Donations	\$ 82,874.85	
Other Receipts (Interest, etc.)	\$ 34,600.00	
<b>Total Donations</b>	\$ 117,474.85	\$ -
<b>Payments</b>	<b>Report Total</b>	<b>Committee Total</b>
<b>Total Payments</b>	\$ 199,498.35	
<b>Cash Summary</b>	<b>Report Total</b>	<b>Committee Total</b>
Previous Cash Balance	\$ 217,676.36	
<i>Plus</i> Total Donations	\$ 117,474.85	
<i>Minus</i> Total Payments	\$ 199,498.35	
<b>Cash Balance at End of Period</b>	\$ 135,652.86	
<b>Other Activity</b>	<b>Report Total</b>	<b>Committee Total</b>
In-Kind Donations	\$ 1,227.97	



## 2019 Gubernatorial Transition Inaugural Committee Financial Disclosure Statement Cash Donations

Date Received	Donor Type	Donor	Donor Employer & Occupation	Amount
12/24/2018	Business	RAI Services Company PO Box 464 Winston-Salem, NC 27102		\$ 2,500.00
12/27/2018	Individual	Kyle McDermott 240 N 5th St Ste 360 Columbus, OH 43215	JVA Campaigns/Consultant	\$ 1,000.00
12/28/2018	Business	Comprehensive Health Management, Inc. PO Box 31390 Tampa, FL 33631		\$ 5,000.00
12/28/2018	Business	Comprehensive Health Management, Inc. PO Box 31390 Tampa, FL 33631		\$ 5,000.00
12/28/2018	Business	Narrow Gauge Cinemas PO Box 486 Farmington, ME 04938		\$ 250.00
12/28/2018	Business	Sappi 179 John Roberts Rd South Portland, ME 04106		\$ 15,000.00
12/28/2018	Non-Profit Organization	International Union of Operating Engineers Local #4 and Its Branches 16 Trotter Dr Medway, MA 02053		\$ 2,500.00
01/03/2019	Individual	David Joseph Loughran 126 Frances St Portland, ME 04102	Mach3Media/Media Consultant	\$ 1,000.00

## 2019 Gubernatorial Transition Inaugural Committee Financial Disclosure Statement Cash Donations

01/05/2019	Business	Centene Corporation 7700 Forsyth Blvd Saint Louis, MO 63105		\$ 5,000.00
01/05/2019	Business	Emera Maine PO Box 932 Bangor, ME 04402		\$ 2,500.00
01/05/2019	Business	Sunrun Inc. 595 Market St FI 29 San Francisco, CA 94105		\$ 1,500.00
01/05/2019	Business	Unitil 6 Liberty Ln W Hampton, NH 03842		\$ 5,000.00
01/05/2019	Business	Whole Oceans, LLC 2 Union St Ste 500 Portland, ME 04101		\$ 5,000.00
01/05/2019	Political Action Committee	Maine Energy Marketers Association PO Box 249 Brunswick, ME 04011		\$ 2,500.00
01/06/2019	Business	Harvard Pilgrim 1 Market St # 3 Portland, ME 04106		\$ 10,000.00
01/10/2019	Business	United Union of Roofers, Waterproofers & Allied Workers Local 33 53 Evans Dr Stoughton, MA 02072		\$ 800.00

## 2019 Gubernatorial Transition Inaugural Committee Financial Disclosure Statement Cash Donations

01/18/2019	<b>Business</b>	<b>Winslow Solar LLC 700 Universe Blvd Juno Beach, FL 33408</b>	<b>Amended 03/11/19 to add</b>	<b>\$ 625.00</b>
01/18/2019	<b>Business</b>	<b>Hinckley Solar LLC 701 Universe Blvd Juno Beach, FL 33408</b>	<b>Amended 03/11/19 to add</b>	<b>\$ 625.00</b>
01/18/2019	<b>Business</b>	<b>Sanford Airport Solar LLC 702 Universe Blvd Juno Beach, FL 33408</b>	<b>Amended 03/11/19 to add</b>	<b>\$ 625.00</b>
01/18/2019	<b>Business</b>	<b>Farmington Solar LLC 703 Universe Blvd Juno Beach, FL 33408</b>	<b>Amended 03/11/19 to add</b>	<b>\$ 625.00</b>
01/18/2019	<b>Business</b>	<b>New Hampshire Transmission LLC 704 Universe Blvd Juno Beach, FL 33408</b>	<b>Amended 03/11/19 to add</b>	<b>\$ 625.00</b>
01/18/2019	<b>Business</b>	<b>Act Blue</b>	<b>Amended 03/11/19 to add</b>	<b>\$ 9.60</b>
01/31/2019	Business	AT&T 208 S Akard St Ste 2964 Dallas, TX 75202		\$ 10,000.00

2019 Gubernatorial Transition Inaugural Committee Financial Disclosure Statement Cash Donations

01/31/2019	Business	T-Mobile USA Inc 12920 SE 38th St Bellevue, WA 98006		\$ 2,500.00
01/31/2019	Business	Comprehensive Health Management, Inc. PO Box 31390 Tampa, FL 33631 Refund- registered to lobby		\$ (5,000.00)
01/31/2019	Business	Comprehensive Health Management, Inc. PO Box 31390 Tampa, FL 33631 Refund- registered to lobby		\$ (5,000.00)
02/15/2019		Mills Transition Committee, PO Box 5115, Augusta, ME 04332		\$ 12,690.25
			<b>Total Donations</b>	<b>\$ 82,874.85</b>

## 2019 Gubernatorial Transition Inaugural Committee Financial Disclosure Statement Expenditures

<b>Date Made</b>	<b>Payee Type</b>	<b>Payee</b>	<b>Description</b>	<b>Amount</b>
12/23/2018	Business	Sage 3901 Centerview Dr Ste W Chantilly, VA 20151	Credit Card Processing Fees	\$ 80.00
12/24/2018	Business	Stickersbanners, Inc. 135 Steelmanville Rd Egg Harbor Township, NJ 08234	Vinyl Backdrops	\$ 349.00
12/24/2018	Business	Staples 14 Crossing Way Ste 3 Augusta, ME 04330	Supplies	\$ 31.63
12/27/2018	Individual	Megan C Gean-Gendron 11 Sentry Hill Rd York, ME 03909	Fundraising Consultant	\$ 5,000.00
12/28/2018	Business	Amazon 410 Terry Ave N Seattle, WA 98109	check paper	\$ 11.49
12/28/2018	Business	AV Technik, LLC 76 Darling Ave South Portland, ME 04106	Event Production	\$ 50,422.00
12/28/2018	Business	Cloud Utilities for Finance, LLC 40 Charlotte Rd Newton, MA 02459	Check processor subscription	\$ 15.00

## 2019 Gubernatorial Transition Inaugural Committee Financial Disclosure Statement Expenditures

12/28/2018	Business	Northeast Charter & Tour Co. Inc. 235 Goddard Rd Lewiston, ME 04240	Motorcoach-- reimbursed to John Hennessy	\$ 832.00
12/28/2018	Business	TD Bank 101 Western Ave Augusta, ME 04330	Bank Fee	\$ 25.00
12/28/2018	Business	United States Postal Service 40 Western Ave Augusta, ME 04330	PO Box Fee	\$ 166.00
12/30/2018	Individual	Benjamin M Gagnon 16 Shaker Rd Gray, ME 04039	Salary	\$ 1,876.90
12/30/2018	Individual	Emma M Collins 184 E Fork Rd Camden, ME 04843	Salary	\$ 1,509.10
12/30/2018	Individual	Jacqueline Morris 11 Sentry Hill Rd York, ME 03909	Salary	\$ 1,184.44
12/30/2018	Individual	Jenna Vendil 38 Vesper St Apt 3 Portland, ME 04101	Salary	\$ 1,826.85

## 2019 Gubernatorial Transition Inaugural Committee Financial Disclosure Statement Expenditures

12/30/2018	Individual	Jeremy Kane Kennedy 53 Thomas St Unit 3 Portland, ME 04102	Salary	\$ 1,849.87
12/30/2018	Business	Payroll Data Processing 4224 Henderson Blvd Tampa, FL 33629	Payroll Taxes	\$ 4,091.03
12/30/2018	Individual	Scott Ogden 105 Pine St Apt 3 Portland, ME 04102	Salary	\$ 992.36
12/31/2018	Business	Brann & Isaacson Attorneys and Counselors At Law PO Box 3070 Lewiston, ME 04243	Legal Fees	\$ 717.50
01/01/2019	Business	Transformit 33 Sanford Dr Gorham, ME 04038	Event Decor	\$ 2,932.90
01/02/2019	Business	Damon's Beverage Mart & Redemption 75 Bangor St Augusta, ME 04330	Beverages for Event	\$ 385.22
01/02/2019	Business	Shaw's 150 Western Ave Augusta, ME 04330	Beverages for Event	\$ 108.55

## 2019 Gubernatorial Transition Inaugural Committee Financial Disclosure Statement Expenditures

01/02/2019	Business	Staples 14 Crossing Way Ste 3 Augusta, ME 04330	Name Badges	\$ 117.92
01/02/2019	Business	Staples 14 Crossing Way Ste 3 Augusta, ME 04330	Name Badges	\$ 34.28
01/03/2019	Business	Cushnoc Brewing Company 243 Water St Augusta, ME 04330	Dinner	\$ 143.80
01/03/2019	Business	Denny's Restaurant 123 Civic Center Dr Augusta, ME 04330	Dinner	\$ 69.10
01/03/2019	Business	Google, Inc. 1600 Amphitheatre Pkwy Mountain View, CA 94043	Google Office Suite	\$ 92.41
01/03/2019	Business	Maine Beer Company 525 US-1 Freeport, ME 04032	Beverages for Event-- reimbursed to Megan Gean Gendron	\$ 1,310.31
01/03/2019	Business	One Stop Event Rentals 262 Main St South Portland, ME 04106	Linen Rentals	\$ 767.78
01/03/2019	Business	Palavar Strings 539 Tremont St Boston, MA 02116	Event Performance	\$ 1,000.00



## 2019 Gubernatorial Transition Inaugural Committee Financial Disclosure Statement Expenditures

01/03/2019	Business	Sage 3901 Centerview Dr Ste W Chantilly, VA 20151	Credit Card Processing Fees	\$ 2,462.25
01/03/2019	Business	Shaw's 150 Western Ave Augusta, ME 04330	Beverages for Event	\$ 178.84
01/03/2019	Business	Staples 14 Crossing Way Ste 3 Augusta, ME 04330	Name Badges	\$ 73.84
01/04/2019	Business	240 Strings PO Box 10193 Portland, ME 04104	Event Performance	\$ 1,000.00
01/04/2019	Business	Augusta Florist 118 Mount Vernon Ave Augusta, ME 04330	Floral Arrangements	\$ 802.80
01/04/2019	Business	Batimbo United 84 Free St Portland, ME 04101	Event Performance	\$ 1,500.00
01/04/2019	Business	Bob Charest Music 20 Lunt Dr Westbrook, ME 04092	Event Performance	\$ 2,500.00

## 2019 Gubernatorial Transition Inaugural Committee Financial Disclosure Statement Expenditures

01/04/2019	Business	Dale Rand Printing 104 Washington Ave Portland, ME 04101	Invitations	\$ 2,611.13
01/04/2019	Business	FedEx 50 Monument Sq Portland, ME 04101	Foam Board Signs	\$ 476.86
01/04/2019	Business	FedEx 50 Monument Sq Portland, ME 04101	Foam Board Signs	\$ 413.03
01/04/2019	Business	GoldenOak 52 Main St New Sharon, ME 04955	Event Performance	\$ 500.00
01/04/2019	Business	Lesley Tracy 100 Saco Falls Way Unit 609 Biddeford, ME 04005	Hair and makeup	\$ 700.00
01/04/2019	Business	Maine Music Society 46 Cedar St Lewiston, ME 04240	Event Performance	\$ 500.00
01/04/2019	Business	Newworld Multimedia 35 Meader St North Berwick, ME 03906	Event Performance	\$ 5,300.00
01/04/2019	Business	One Stop Event Rentals 262 Main St South Portland, ME 04106	Glassware Rentals	\$ 62.00

## 2019 Gubernatorial Transition Inaugural Committee Financial Disclosure Statement Expenditures

01/04/2019	Individual	Pat Elliot Colwell 16 Winter St Bath, ME 04530	Event Performance	\$ 1,750.00
01/04/2019	Business	Staples 14 Crossing Way Ste 3 Augusta, ME 04330	Tape and mounting supplies	\$ 40.25
01/04/2019	Business	University of Maine Renaissance Class of 1944 Hall Flagstaff Rd Orono, ME 04469	Event Performance	\$ 250.00
01/04/2019	Business	University of Maine Steiners Class of 1944 Hall Flagstaff Rd Orono, ME 04469	Event Performance	\$ 250.00
01/04/2019	Business	University of Southern Maine Chamber Singers 37 College Ave Gorham, ME 04038	Event Performance	\$ 500.00
01/04/2019	Business	Viva and the Reinforcements 3 Danforth Pl Portland, ME 04102	Event Performance	\$ 2,000.00

## 2019 Gubernatorial Transition Inaugural Committee Financial Disclosure Statement Expenditures

01/04/2019	Business	Walmart 201 Civic Center Dr Augusta, ME 04330	Cash Boxes	\$ 25.02
01/04/2019	Business	XPress Copy 17 Westfield St Portland, ME 04102	Invitations Mailing	\$ 816.62
01/04/2019	Business	XPress Copy 17 Westfield St Portland, ME 04102	Foam Board Signs	\$ 254.75
01/04/2019	Individual	Zachary Holman 214 Sadie Dunn Rd Mount Vernon, ME 04352	Operations Consultant	\$ 2,500.00
01/05/2019	Business	AV Technik, LLC 76 Darling Ave South Portland, ME 04106	Event Production	\$ 50,422.00
01/05/2019	Business	Best Western Plus Augusta Civic Center Inn 110 Community Dr Augusta, ME 04330	Lodging	\$ 2,589.30
01/05/2019	Business	Best Western Plus Augusta Civic Center Inn 110 Community Dr Augusta, ME 04330	Lodging-- reimbursed to John Hennessy	\$ 87.31
01/06/2019	Business	Baldacci Communications 533 Congress St Portland, ME 04101	Project Management Consulting, Inaugural Events	\$ 12,500.00

## 2019 Gubernatorial Transition Inaugural Committee Financial Disclosure Statement Expenditures

01/06/2019	Business	Maine Mixologist 37 Peacock Hill Rd New Gloucester, ME 04260	Bartending Services	\$ 1,950.00
01/07/2019	Business	Dale Rand Printing 104 Washington Ave Portland, ME 04101	Programs	\$ 1,603.60
01/07/2019	Business	International Alliance of Theatrical Stage Employees Local 114 PO Box 993 Portland, ME 04104	Event set-up labor	\$ 2,748.00
01/07/2019	Business	Maine Radio 68 Mussey Rd Scarborough, ME 04074	two way radio rentals-- reimbursed to John Hennessy	\$ 795.00
01/08/2019	Individual	Blake Hayes 273 Congress St Apt 10 Portland, ME 04101	Event Emcee	\$ 250.00
01/08/2019	Individual	Eva Matteson 90 Saint Lawrence St Portland, ME 04101	Event Emcee	\$ 250.00
01/08/2019	Individual	Michael H Labriola 150 Neal St Portland, ME 04102	Software and IT Consultant	\$ 4,037.47

## 2019 Gubernatorial Transition Inaugural Committee Financial Disclosure Statement Expenditures

01/08/2019	Business	Sage 3901 Centerview Dr Ste W Chantilly, VA 20151	Service Fee	\$ 20.00
01/10/2019	Business	Seasons Downeast Designs 62 Meadow St Rockport, ME 04856	Floral Arrangements	\$ 250.00
01/11/2019	Individual	Julia Schafer 12 Dolphin Ave Old Orchard Beach, ME 04064	Sign Language Interpreting	\$ 275.00
01/11/2019	Individual	Sara Lennon 54 Cranbrook Dr Cape Elizabeth, ME 04107	Graphic Design	\$ 1,075.00
01/17/2019	Business	NGP VAN or Everyaction	Database charge	\$ 300.00
01/18/2019	Individual	Benjamin M Gagnon 16 Shaker Rd Gray, ME 04039	Fundraising Consulting	\$ 5,000.00
01/18/2019	Individual	Margaret Haberman 1 George Hill Rd Hope, ME 04847	Sign Language Interpreter	\$ 475.00

## 2019 Gubernatorial Transition Inaugural Committee Financial Disclosure Statement Expenditures

01/28/2019	Business	Cloud Utilities for Finance, LLC 40 Charlotte Rd Newton, MA 02459	Check processor subscription	\$ 15.00
01/28/2019	Business	Pihcintu 36 Emerson Dr Windham, ME 04062	Event Performance	\$ 1,000.00
01/29/2019	Business	Brann & Isaacson Attorneys and Counselors At Law PO Box 3070 Lewiston, ME 04243	Legal Fees	\$ 649.02
01/31/2019	Business	TD Bank 101 Western Ave Augusta, ME 04330	Bank Fee	\$ 2.00
01/31/2019	Business	TD Bank 101 Western Ave Augusta, ME 04330	Fee	\$ 2.00
01/31/2019	Business	TD Bank 101 Western Ave Augusta, ME 04330	Fee	\$ 2.00
01/31/2019	Business	TD Bank 101 Western Ave Augusta, ME 04330	Fee	\$ 25.00

2019 Gubernatorial Transition Inaugural Committee Financial Disclosure Statement Expenditures

02/04/2019	Business	Sage 3901 Centerview Dr Ste W Chantilly, VA 20151	Credit Card Processing Fees	\$ 1,636.09
02/11/2019	Individual	Megan C Gean-Gendron 11 Sentry Hill Rd York, ME 03909	Fundraising Consultant	\$ 5,000.00
02/15/2019	Business	Brann & Isaacson Attorneys and Counselors At Law PO Box 3070 Lewiston, ME 04243	Legal Fees	\$ 675.00
02/15/2019	Business	City of Augusta 16 Cony St Augusta, ME 04330	Augusta Civic Center-- Catering, Fees, Rentals, Staff (amount not final and not included in financial activity totals)	\$ 194,901.70
02/15/2019	Business	Wipfli Macpage 1 Market Square Augusta, ME 04330	Accountant Fees	\$ 390.00
12/24/2019	Business	UberConference 275 Sacramento St San Francisco, CA 94111	Conferencing system-- reimbursed to Megan Gean Gendron	\$ 64.73
<b>Total Payments</b>				<b>\$ 199,498.35</b>



2019 Gubernatorial Transition Inaugural Committee Financial Disclosure Statement In-Kind Donations

Received	Type	Donor	Employer	Occupation	Description	Amount
01/01/2019	Business	Diageo North America Inc. 801 Main Ave Norwalk, CT 06851			Beverages for Events	\$ 1,227.97
			<b>Total In-Kind Donations</b>			<b>\$ 1,227.97</b>

**Committee Name:** Mills Inaugural Committee

**Report Name:** Supplementary Report

**Reporting Period:** February 16 - May 1, 2019

**Due Date:** Wednesday, May 1, 2019

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### Financial Activity Summary

<b>Donations</b>	<b>Report Total</b>	<b>Committee Total</b>
Cash Donations	\$ 2,545.00	
Other Receipts (Interest, etc.)		
<b>Total Donations</b>	\$ 2,545.00	\$ -
<b>Payments</b>	<b>Report Total</b>	<b>Committee Total</b>
<b>Total Payments</b>	\$ 85,458.37	
<b>Cash Summary</b>	<b>Report Total</b>	<b>Committee Total</b>
Previous Cash Balance	\$ 135,652.86	
<i>Plus</i> Total Donations	\$ 2,545.00	
<i>Minus</i> Total Payments	\$ 85,458.37	
<b>Cash Balance at End of Period</b>	\$ 52,739.49	
<b>Other Activity</b>	<b>Report Total</b>	<b>Committee Total</b>
In-Kind Donations	\$ -	

Mills Inaugural Committee  
 Supplementary Report - Donations

Date Received	Donor Type	Donor	Donor Employer	Donor Occupation	Amount
03/01/2019	Business	Verrill Dana, LLP One Portland Square Portland, ME 04101			\$ 2,500.00
04/30/2019	Contributors Giving \$50 or Less				\$ 45.00
<b>Total Cash Donations</b>					<b>\$ 2,545.00</b>

Mills Inaugural Committee  
Supplementary Report - Payments

<b>Date Made</b>	<b>Payee Type</b>	<b>Payee</b>	<b>Description</b>	<b>Amount</b>
03/04/2019	Business	Sage 3901 Centerview Dr Chantilly, VA 20151	Credit Card Processing Fee	\$ 118.30
03/12/2019	Individual	Megan Gean Gendron 11 Sentry Hill Rd York, ME 03909	Fundraising Consultant	\$ 2,500.00
03/12/2019	Individual	Megan Gean Gendron 11 Sentry Hill Rd York, ME 03909	Reimbursement for expenses	\$ 1,310.31
03/12/2019	Business	TD Bank 101 Western Ave Augusta, ME 04330	Bank Fee	\$ 25.00
03/28/2019	Business	Cloud Utililies for Finance, LLC 40 Charlotte Rd Newton, MA 02459	Check processor subscription	\$ 15.00
03/29/2019	Business	TD Bank 101 Western Ave Augusta, ME 04330	Bank Fee	\$ 2.00
04/19/2019	Individual	Megan Gean Gendron 11 Sentry Hill Rd York, ME 03909	Fundraising Consultant	\$ 2,500.00
03/10/2019	Business	ActBlue 366 Summer St Somerville, MA 02143	Service Fee	\$ 0.99
03/24/2019	Business	ActBlue 366 Summer St Somerville, MA 02144	Service Fee	\$ 0.40
04/03/2019	Business	NGP Van Inc. PO Box 392264 Pittsburgh, PA 15251	Database Software	\$ 1,487.50
04/15/2019	Business	NGP Van Inc. PO Box 392264 Pittsburgh, PA 15251	Database Software	\$ 750.00
04/08/2019	Business	NGP Van Inc. PO Box 392264 Pittsburgh, PA 15251	Database Software	\$ 150.00
04/30/2019	Business	City of Augusta 16 Cony St Augusta, ME 04330	Augusta Civic Center-- Catering, Fees, Rentals, Staff	\$ 75,000.00
04/30/2019	Business	Meryl C.S. Troop 98 Pleasant St Portland, ME 04103	Sign language interperatation for Inauguration swearing-in	\$ 275.00
04/30/2019	Business	Brann & Isaacson 184 Main St, PO Box 3070 Lewiston, ME 04243	Legal services - adjustment from February invoice (Feb invoice was estimated at the time of the last report)	\$ 23.39
04/30/2019	Business	Brann & Isaacson 184 Main St, PO Box 3070 Lewiston, ME 04243	legal services - March	\$ 656.73
04/30/2019	Business	Brann & Isaacson 184 Main St, PO Box 3070 Lewiston, ME 04243	legal services - April	\$ 643.75

Mills Inaugural Committee  
 Supplementary Report - Payments

04/30/2019	Business	<i>City of Augusta          16 Cony St          Augusta, ME 04330</i>	<i>Catering, Fees, Rentals, Staff          (amount not final and not included in          financial activity totals)</i>	\$ 119,901.70
		<b>Total Payments</b>		<b>\$ 85,458.37</b>



DEC 0 6 2018

## 2018-2019 TRANSITION & INAUGURAL COMMITTEE REGISTRATION

**Notice:** Changes to registration information must be filed within 10 days in writing or by e-mail to the Commission.

Is this an amendment?    Yes    No

1. COMMITTEE & GOVERNOR-ELECT INFORMATION	
Name of Committee: Mills Transition Committee	
Name of Governor-Elect: Janet T. Mills	
<u>Mailing Address of Committee:</u>	
Street: PO Box 5115	
City: Augusta	
ZIP: 04332	
Primary Contact Email: transition@janetmills.com	Primary Contact Phone: (207) 209-4270

2. TREASURER* INFORMATION		
First	Middle	Last
Name: Jackie		Potter
<u>Mailing Address:</u>		
Street: 66 Stroudwater Rd		
City: Portland		
ZIP: 04102		
Email: MCarey@brannlaw.com	Phone: (207) 786-3566	

\*A treasurer for a Transition & Inaugural Committee cannot have served as treasurer for the Governor-Elect, any other candidate, or for a PAC during the 2017-2018 election cycle.

3.

**COMMITTEE MEMBERS & FUNDRAISERS**

Name: Jeremy Kennedy

Committee Member  Fundraiser

Mailing Address:

Street: 53 Thomas St

City: Portland

ZIP: 04102

Name: Ben Grant

Committee Member  Fundraiser

Mailing Address:

Street: PO Box 7474

City: Portland

ZIP: 04112

Name: Megan Gean Gendron

Committee Member  Fundraiser

Mailing Address:

Street: 11 Sentry Hill Rd

City: York

ZIP: 03909

Name: Benjamin Gagnon

Committee Member  Fundraiser

Mailing Address:

Street: 16 Shaker Rd

City: Gray

ZIP: 04039

Name: Run the World Digital

Committee Member  Fundraiser

Mailing Address:

Street: 4209 Dandridge Terrace

City: Alexandria

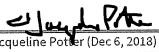
ZIP: 22309

4.

**CERTIFICATION**

I, Jackie Potter, certify that the information in this registration is true, accurate and complete, and that  
(Print Treasurer's Full Name)

this is an official and authorized transition/inaugural committee of Governor-Elect Janet T. Mills.

Signature of Treasurer   
Jacqueline Potter (Dec 6, 2018)

Date Dec 6, 2018



**Committee Name:** Mills Transition Committee  
**Report Name:** Pre-Inauguration Report  
**Reporting Period:** November 7 - December 22, 2018  
**Due Date:** Wednesday, January 2, 2019  
**Amended February 19, 2019**

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### Financial Activity Summary

<b>Donations</b>	<b>Report Total</b>	<b>Committee Total</b>
Cash Donations	\$ 25,769.25	
Other Receipts (Interest, etc.)	\$ -	
<b>Total Donations</b>	<b>\$ 25,769.25</b>	
<b>Payments</b>	<b>Report Total</b>	<b>Committee Total</b>
<b>Total Payments</b>	<b>\$ 20,043.05</b>	
<b>Cash Summary</b>	<b>Report Total</b>	<b>Committee Total</b>
Previous Cash Balance	\$ -	
<i>Plus</i> Total Donations	\$ 25,769.25	
<i>Minus</i> Total Payments	\$ 20,043.05	
<b>Cash Balance at End of Period</b>	<b>\$ 5,726.20</b>	
<b>Other Activity</b>	<b>Report Total</b>	<b>Committee Total</b>
In-Kind Donations	\$ -	

Donations

Date Received	Donor Type	Donor	Donor Employer & Occupation	Amount
12/6/2018	Individual	Lisa M Gorman PO Box 1027 Yarmouth, ME 04096	Not Employed/Retired	\$1,000.00
12/14/2018	Individual	Carol A. Epstein PO Box 2444 Bangor, ME 04402	Epstein Properties/Real Estate Professional	\$1,000.00
12/15/2018	Individual	<b>Carol Epstein 120 French St Bangor, ME 04401 (amended to add)</b>	<b>Epstein Properties/Real Estate Professional</b>	<b>\$1,000.00</b>
12/21/2018	Individual	Jayson Allain 1250 W Main St Dover Foxcroft, ME 04426	Peace Corps/ Chief Technology Transfer Officer	\$100.00
12/21/2018	Individual	Karin Anderson 15 Roberts Ave Apt A Bar Harbor, ME 04609	self/physician	\$100.00
12/21/2018	Individual	Donald Auten 10 Sugar Maple Dr Topsham, ME 04086	Not Employed/ Not Employed	\$250.00

Donations

12/21/2018	Individual	Greta Helene Averbach 82 Foreside Rd Apt D Falmouth, ME 04105	Self/Psychotherapist	\$100.00
12/21/2018	Individual	Colin W Baker 17 Poland Rd Freeport, ME 04032	Not employed/Not employed	\$250.00
12/21/2018	Individual	Richard E Barringer 22 Hancock St Unit 208 Portland, ME 04101	Not Employed/Not Employed	\$100.00
12/21/2018	Individual	James Brooks 34 Browns Head Northport, ME 04849	GE Power Bangor/EHS Manager	\$100.00
12/21/2018	Individual	Christine F Burke 9 Waldron Dr Scarborough, ME 04074	Maine Laser Skin Care and Medi Spa/Registered Nurse	\$100.00
12/21/2018	Individual	Harry Center 21 West St Freeport, ME 04032	Woodman & Edmands/Attorney	\$100.00
12/21/2018	Individual	Cheryl Coffin 77 Blackberry Ln Surry, ME 04684	Not Employed/Not Employed	\$250.00

Donations

12/21/2018	Individual	Frank Copsidas 24 Fox Run Sherman, CT 06784	The Intrigue Group/CEO	\$1,000.00
12/21/2018	Individual	Judith Stewart Cosenza 1 Vista Ter Avon, CT 06001	Self/Attorney	\$100.00
12/21/2018	Individual	Stephanie G Cotsirilos 21 Chestnut St Unit 803 Portland, ME 04101	Self/Attorney/consultant/w riter	\$100.00
12/21/2018	Individual	Barbara L Crider 932 Lebanon Rd Acton, ME 04001	York County Community Action Corp./Administrator	\$100.00
12/21/2018	Individual	Joanne D'Arcangelo 1414 Forest Ave Apt 12 Portland, ME 04103	JD'A Consulting Inc./ Consultant	\$100.00
12/21/2018	Individual	Habib J Dagher 1101 Buck Hill Dr Veazie, ME 04401	University of Maine/ Office and Administrator Occupations	\$250.00
12/21/2018	Individual	Roger A Daigle 19 Birch Dr Raymond, ME 04071	Not Employed/Realtor	\$100.00

Donations

12/21/2018	Individual	Kristine Davidson PO Box 327 Vinalhaven, ME 04863	self-employed/ Real Estate Broker	\$100.00
12/21/2018	Individual	Mary Louis Davitt 25 Summer St Hampden, ME 04444	University of Maine Augusta/professor	\$100.00
12/21/2018	Individual	Arthur R Dingley I 137 Stewart Ave Farmington, ME 04938	Franklin Health Network/Physician	\$100.00
12/21/2018	Individual	Judy Dinmore 11 Rocky Knoll Rd Cape Elizabeth, ME 04107	retired/not employed	\$100.00
12/21/2018	Individual	Joseph Donnelly PO Box 132 York Harbor, ME 03911	Not Employed/Not Employed	\$100.00
12/21/2018	Individual	Joseph Donnelly PO Box 132 York Harbor, ME 03911	Not Employed/Not Employed	\$500.00
12/21/2018	Individual	Ann E Dorney M.D. 40 Parlin Dr Norridgewock, ME 04957	Redington-Fairview General Hospital/physician	\$100.00

Donations

12/21/2018	Individual	Patricia M Dunn PO Box 1436 Scarborough, ME 04070	Jensen Baird Gardner & Henry/Attorney	\$100.00
12/21/2018	Individual	Diane Dusini 26 Woodside Dr Scarborough, ME 04074	MittelAsen LLC/Attorney	\$100.00
12/21/2018	Individual	Peter Duston PO Box 36 Cherryfield, ME 04622	DK Associates/Educational consultant	\$100.00
12/21/2018	Individual	Sean Findlen 11 Benson St Lewiston, ME 04240	Bates College/Chief Communications Officer	\$100.00
12/21/2018	Individual	Martha Gifford 187 Hicks St Apt 3A Brooklyn, NY 11201	Self/Lawyer	\$100.00
12/21/2018	Individual	Jane L Gilbert 23 S Chestnut St Augusta, ME 04330	Not Employed/Not Employed	\$100.00
12/21/2018	Individual	Arch Gillies 237 W Shore Dr Islesboro, ME 04848	Not Employed/Not Employed	\$250.00

Donations

12/21/2018	Individual	Jennifer Goff Goodspeed 22 Arborside Dr Falmouth, ME 04105	Not employed/Not employed	\$100.00
12/21/2018	Individual	Lani F Graham PO Box 10368 Portland, ME 04104	Not Employed/Not Employed	\$100.00
12/21/2018	Individual	Martin Grohman 9 Lisa Ln Biddeford, ME 04005	Buttercup Z Inc/Sustainability Consultant	\$125.00
12/21/2018	Individual	Penelope Gruen 54 River Rd Kennebunkport, ME 04046	Not Employed/Not Employed	\$250.00
12/21/2018	Individual	William E Hall Jr 317 Pleasant Ave Peaks Island, ME 04108	Martin's Point Health Care/Marketing manager	\$500.00
12/21/2018	Individual	Daniel E Harris 1 Mountain Ash Ave Brunswick, ME 04011	Not Employed/Not Employed	\$100.00
12/21/2018	Individual	Karen Heck 7 Pleasant St Waterville, ME 04901	Tufts medical center/Program officet	\$100.00

Donations

12/21/2018	Individual	Ted Helberg 8 Connor Ave Fairfield, ME 04937	Not Employed/Not Employed	\$200.00
12/21/2018	Individual	Rachel A. Hendrickson 2 Plantation Dr Scarborough, ME 04074	Not Employed/Not Currently Employed	\$100.00
12/21/2018	Individual	Alison Hildreth 54 Thornhurst Rd Falmouth, ME 04105	self/artist	\$250.00
12/21/2018	Individual	Tom C Hildreth PO Box 208 Kingfield, ME 04947	Not Employed/Not Employed	\$100.00
12/21/2018	Individual	Elizabeth Holmstrom 325 Preble St South Portland, ME 04106	BreakTogether/Founder	\$100.00
12/21/2018	Individual	Penny Hood 260 Davis Rd Farmington, ME 04938	Self/Artist	\$100.00
12/21/2018	Individual	Charles A. Jacobs 39 Macomber Rd Monmouth, ME 04259	None/Not employed	\$250.00



Donations

12/21/2018	Individual	David E James PO Box 1929 Kennebunkport, ME 04046	Not employed/Not employed	\$100.00
12/21/2018	Individual	Michael Kenslea 4 Island Ave Apt 7 Kittery, ME 03904	Not employed/Not employed	\$100.00
12/21/2018	Individual	Martin Kolk 28 Wentworth St Kittery, ME 03904	VINILANDIA/administrator	\$100.00
12/21/2018	Individual	Robert S Kramer 46 Field Rd Falmouth, ME 04105	Maine Medical Center/Surgeon	\$250.00
12/21/2018	Individual	Randy Labbe 44 Main St Ste 205 Waterville, ME 04901	Atlantic Corporation/Management Consultant	\$100.00
12/21/2018	Individual	Daniel J Lauzon 301 Depot Rd Lebanon, ME 04027	Not Employed/Not Employed	\$100.00
12/21/2018	Individual	Mark Lavoie 17 Liberty Way Portland, ME 04103	Norman Hanson Detroy/lawyer	\$250.00

Donations

12/21/2018	Individual	Adam D. Lee 10 Harris Rd Cumberland, ME 04021	Lee Auto Malls/Car Dealer	\$1,000.00
12/21/2018	Individual	Catherine A Lee 200 Main St Westbrook, ME 04092	self/lawyer/consultant	\$1,000.00
12/21/2018	Individual	Abbe J Levin PO Box 413 Boothbay, ME 04537	self/Consultant	\$100.00
12/21/2018	Individual	Gene Libby 1 Oakwood Ln Kennebunk, ME 04043	Libby O'Brien Kingsley & Champion/Attorney	\$100.00
12/21/2018	Individual	Steven Martel 256 Lane Rd Greene, ME 04236	Central Maine Healthcare/Physician	\$250.00
12/21/2018	Individual	Jay McCreight 155 Gun Point Rd Harpwell, ME 04079	Brynmorgen Press/assistant editor and proofreader	\$100.00
12/21/2018	Individual	Frederick Michaud 1710 Swann St NW Washington, DC 20009	Capshaw DeRieux LLP/attorney	\$100.00

Donations

12/21/2018	Individual	Peter Mills PO Box 9 Skowhegan, ME 04976	Maine Turnpike/Exec Director	\$100.00
12/21/2018	Individual	Stan Alton Moody 54 Leavitt Ln Glenburn, ME 04401	Columbia Street Project/Non-profit President	\$100.00
12/21/2018	Individual	Stan Alton Moody 54 Leavitt Ln Glenburn, ME 04401	Columbia Street Project/Non-profit President	\$10.00
12/21/2018	Individual	Stephen W Moriarty 12 Oak St Cumberland Center, ME 04021	Norman Hanson & DeTroy/attorney	\$100.00
12/21/2018	Individual	Betsy Morrell 2773 Atlantic Hwy Lincolnville, ME 04849	Not employed/Not employed	\$100.00
12/21/2018	Individual	John E Nale 22 Bassett Rd Winslow, ME 04901	Self/Attorney	\$100.00
12/21/2018	Individual	Robert R. O'Brien 50 Maple St Peaks Island, ME 04108	WSP Disaster Inspections Inc./Disaster Inspector	\$100.00

Donations

12/21/2018	Individual	Patrick Paradis 219 Northern Ave Augusta, ME 04330	Not Employed/Not Employed	\$100.00
12/21/2018	Individual	Gregory Payne 66 Rosemont Ave Portland, ME 04103	Avesta Housing/affordable housing practitioner	\$100.00
12/21/2018	Individual	Joseph R. Phillips 100 North St Bath, ME 04530	Maine Maritime Museum/Mariner	\$100.00
12/21/2018	Individual	Arthur Pierce 25 Thornton Way Apt 237 Brunswick, ME 04011	Not Employed/Not Employed	\$200.00
12/21/2018	Individual	Gary G Pinder PO Box 93 Brooklin, ME 04616	Not Employed/Not Employed	\$100.00
12/21/2018	Individual	Hannah M. Pingree 12 Mills St North Haven, ME 04853	Nebo Lodge/Turner Farm/Business Manager	\$100.00
12/21/2018	Individual	James Marlin Popkin 15 Brown St Portland, ME 04101	Gartner/Industry Analyst	\$100.00

Donations

12/21/2018	Individual	Vernon Rail PO Box 544 Southport, ME 04576	Not Employed/Not Employed	\$100.00
12/21/2018	Individual	Eric Rector 550 Dickey Hill Rd Monroe, ME 04951	Good Food Inc./Cheese Maker	\$100.00
12/21/2018	Individual	Marilyn Moss Rockefeller 80 Howe Hill Rd Camden, ME 04843	Not employed/Not employed	\$250.00
12/21/2018	Individual	Peter Roy 6016 Fairway Dr Carrabassett Valley, ME 04947	Retired/Retired	\$100.00
12/21/2018	Individual	Mary Jane Searles 138 Silver St Waterville, ME 04901	Not Employed/Not Employed	\$100.00
12/21/2018	Individual	Ellen Seidman 2558 36th St NW Washington, DC 20007	Not Employed/Not Employed	\$100.00
12/21/2018	Individual	Mark Shibles PO Box 864 Wilton, ME 04294	Not Employed/Not Employed	\$100.00

Donations

12/21/2018	Individual	Charles Spurr 139 Broadway St Wakefield, MA 01880	kayak.com/Software Engineer	\$100.00
12/21/2018	Individual	Doris S Stockly 31 Casco Ter Falmouth, ME 04105	Not Employed/Not Employed	\$100.00
12/21/2018	Individual	Stephen Suter PO Box 576 Cumberland, ME 04021	Not Employed/Not Employed	\$100.00
12/21/2018	Individual	Annee H Tara 34 Belmont St Brunswick, ME 04011	self/freelancer	\$100.00
12/21/2018	Individual	Vendean Vafiades 1 Ricker Park Apt D2 Portland, ME 04101	Self Employed/Attorney	\$100.00
12/21/2018	Individual	Charles Owen Verrill Jr 118 E Shore Dr Islesboro, ME 04848	Self/Lawyer self	\$250.00
12/21/2018	Individual	Katherine Versluis 133 Dube Dr Sabattus, ME 04280	Not Employed/Not Employed	\$50.00

Donations

12/21/2018	Individual	Katherine Versluis 133 Dube Dr Sabattus, ME 04280	Not Employed/Not Employed	\$10.00
12/21/2018	Individual	Ira J. Waldman 8107 Delgany Ave Playa Del Rey, CA 90293	Cox Castle & Nicholson LLP/Lawyer	\$250.00
12/21/2018	Individual	Robert W Walker 101 Brentwood Dr Auburn, ME 04210	Maine Education Association/Executive Director	\$100.00
12/21/2018	Individual	Edward Walworth Md 8 Manning Ave Lewiston, ME 04240	Retired/Retired	\$100.00
12/21/2018	Individual	Katharine Johnson Watson 10 Boody St Brunswick, ME 04011	Not Employed/Not Employed	\$500.00
12/21/2018	Individual	Katharine Johnson Watson 10 Boody St Brunswick, ME 04011	Not Employed/Not Employed	\$500.00
12/21/2018	Individual	Thomas R Watson PO Box 710 Bath, ME 04530	State of Maine/Attorney	\$100.00

Donations

12/21/2018	Individual	Michael Welch 29 Clifton St Portland, ME 04101	Hardy Wolf & Downing/Attorney	\$250.00
12/21/2018	Individual	Joan W Welsh 54 Sea St Rockport, ME 04856	Not Employed/Not Employed	\$100.00
12/21/2018	Individual	Margaret Wolff 50 Market St Ste 1A South Portland, ME 04106	Not Employed/Not Employed	\$100.00
12/21/2018	Individual	Cynthia Wright PO Box 339 Newry, ME 04261	none/none	\$100.00
12/21/2018	Individual	Margaret C Wyckoff. PO Box 44 Farmington, ME 04938	Retired/Retired	\$100.00
12/22/2018	Contributors Giving \$50 or Less	Total of contributions \$50.00 or less		\$6,324.25
<b>Total Cash Donations</b>				<b>\$25,769.25</b>



Payments

Date Made	Payee Type	Payee	Description	Amount
11/7/2018	Business	Becky's Diner 390 Commercial St Portland, ME 04101	Breakfast	\$66.05
11/15/2018	Individual	William Rasky 1 Whittemore Ave Unit 213 Cambridge, MA 02140	Salary	\$814.47
11/15/2018	Individual	Jeremy Kane Kennedy 53 Thomas St Unit 3 Portland, ME 04102	Salary	\$924.94
11/15/2018	Individual	Michael Perry 187 Union St Apt 3 Bangor, ME 04401	Salary	\$690.16
11/15/2018	Business	Payroll Data Processing 4224 Henderson Blvd Tampa, FL 33629	Payroll Taxes	\$1,240.30
11/15/2018	Individual	Scott Ogden 105 Pine St Apt 3 Portland, ME 04102	Salary	\$506.93

Payments

11/16/2018	Business	Stephanie's 1 Harborside Dr Boston, MA 02128	Dinner	\$175.52
11/19/2018	Business	The Westin O'Hare 6100 N River Rd Rosemont, IL 60018	Breakfast	\$61.73
11/20/2018	Business	Denver ChopHouse 8500 Peña Boulevard A Gates Denver, CO 80249	Dinner	\$67.88
11/30/2018	Business	GOGO Inc 1250 N Arlington Heights Rd Ste 500 Itasca, IL 60143	in-flight internet	\$24.00
11/30/2018	Individual	Jeremy Kane Kennedy 53 Thomas St Unit 3 Portland, ME 04102	Salary	\$1,849.88
11/30/2018	Individual	Michael Perry 187 Union St Apt 3 Bangor, ME 04401	Salary	\$1,380.31

Payments

11/30/2018	Business	Payroll Data Processing 4224 Henderson Blvd Tampa, FL 33629	Payroll Taxes	\$2,478.27
11/30/2018	Individual	William Rasky 1 Whittemore Ave Unit 213 Cambridge, MA 02140	Salary	\$1,628.94
11/30/2018	Individual	Scott Ogden 105 Pine St Apt 3 Portland, ME 04102	Salary	\$1,013.86
12/3/2018	Business	1897 Market 5501 Josh Birmingham Pkwy Charlotte, NC 28208	Breakfast	\$53.88
12/3/2018	Business	GOGO Inc 1250 N Arlington Heights Rd Ste 500 Itasca, IL 60143	in-flight internet	\$24.00
12/4/2018	Business	Cafe Pontalba 546 Saint Peter St New Orleans, LA 70116	Dinner	\$130.81

## Payments

12/7/2018	Business	United States Postal Service 125 Forest Ave Portland, ME 04101	Postage	\$8.15
12/10/2018	Individual	Waldo's General Store 178 US-1 Falmouth, ME 04101	Gas	\$32.81
12/15/2018	Individual	Jeremy Kane Kennedy 53 Thomas St Unit 3 Portland, ME 04102	Salary	\$1,849.88
12/15/2018	Individual	Michael Perry 187 Union St Apt 3 Bangor, ME 04401	Salary	\$1,558.15
12/15/2018	Business	Payroll Data Processing 4224 Henderson Blvd Tampa, FL 33629	Payroll Taxes	\$1,909.05
12/15/2018	Individual	Scott Ogden 105 Pine St Apt 3 Portland, ME 04102	Salary	\$1,013.86

## Payments

12/17/2018	Business	Community Health Options 150 Mill St Lewiston, ME 04240	Health Insurance - Reimbursed to Scott Ogden	\$499.88
12/17/2018	Business	Quality Transportation 5500 International Blvd Charleston, SC 29418	Taxi Fare	\$39.34
<b>Total Cash Contributions</b>				<b>\$ 20,043.05</b>

**Committee Name:** Mills Transition Committee  
**Report Name:** Post-Inauguration Report  
**Reporting Period:** December 23, 2018 - February 15, 2019  
**Due Date:** Friday, February 15, 2019

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### Financial Activity Summary

<b>Donations</b>	<b>Report Total</b>	<b>Committee Total</b>
Cash Donations	\$ 16,561.00	
Other Receipts (Interest, etc.)		
<b>Total Donations</b>	\$ 16,561.00	\$ -
<b>Payments</b>	<b>Report Total</b>	<b>Committee Total</b>
<b>Total Payments</b>	\$ 22,287.20	
<b>Cash Summary</b>	<b>Report Total</b>	<b>Committee Total</b>
Previous Cash Balance	\$ 5,726.20	
<i>Plus</i> Total Donations	\$ 16,561.00	
<i>Minus</i> Total Payments	\$ 22,287.20	
<b>Cash Balance at End of Period</b>	\$ -	
<b>Other Activity</b>	<b>Report Total</b>	<b>Committee Total</b>
In-Kind Donations	\$ -	

## 2019 Gubernatorial Transition Inaugural Committee Financial Disclosure Statement Cash Donations

Date Received	Donor Type	Donor	Donor Employer & Occupation	Amount
12/28/2018	Individual	Paul H. Mills 163 Main St Farmington, ME 04938	Self/Lawyer	\$ 250.00
12/28/2018	Individual	Geralddean Paterson 182 Flying Point Rd Freeport, ME 04032	Not Employed/Retired	\$ 100.00
12/28/2018	Non-Profit Organization	State of Maine 39 State House Sta Augusta, ME 04333		\$ 5,000.00
12/30/2018	Individual	Dorothy T Ahlgren PO Box 266 Kittery Point, ME 03905	Not Employed/not currently employed	\$ 150.00
12/30/2018	Individual	Jonathan Allen 14 Sumac Dr Brunswick, ME 04011	Not Employed/Not Employed	\$ 100.00
12/30/2018	Individual	Marcia Beal Beal Brazer 16 Brazer Pt Ogunquit, ME 03907	Brazer Lobster/Self	\$ 100.00
12/30/2018	Individual	Bernard J Broder III PO Box 25 Sanford, ME 04073	Self/Attorney	\$ 100.00
12/30/2018	Individual	George N Campbell Jr 15 Clifford St Apt 2 Portland, ME 04102	Louis Berger/Executive	\$ 500.00

## 2019 Gubernatorial Transition Inaugural Committee Financial Disclosure Statement Cash Donations

12/30/2018	Individual	Christopher W W Cary 4 Alexander Dr Cape Elizabeth, ME 04107	Spectrum Health/Physician	\$ 100.00
12/30/2018	Individual	Phil B Coupe 345 Mitchell Rd Cape Elizabeth, ME 04107	ReVision Energy/manager	\$ 100.00
12/30/2018	Individual	Susan Dineen 4 Marion Ave Millbury, MA 01527	Not Employed/Not Employed	\$ 100.00
12/30/2018	Individual	Phil Dionne 91 Merrymeeting Rd Brunswick, ME 04011	Not Employed/Not Employed	\$ 100.00
12/30/2018	Individual	Anne C Fowler 39 Prospect St Portland, ME 04103	Not employed/Not employed	\$ 100.00
12/30/2018	Individual	Garry Greene 17 Shannon Ln Saco, ME 04072	Not Employed/Not Employed	\$ 100.00
12/30/2018	Individual	Kristen Gwinn-Becker 86 Morning St Portland, ME 04101	HistoryIT/CEO	\$ 100.00
12/30/2018	Individual	Scott Harriman 16 Hidden Creek Ln Standish, ME 04084	Cumberland County FCU/CEO	\$ 100.00
12/30/2018	Individual	Brad Kauffman 9 Osprey Reach Cumberland Foreside, ME 04110	Not Employed/Not Employed	\$ 100.00



## 2019 Gubernatorial Transition Inaugural Committee Financial Disclosure Statement Cash Donations

12/30/2018	Individual	Jacintha Knapp 5411 Bayridge Rd Rancho Palos Verdes, CA 90275	JFI/Business Manager	\$ 100.00
12/30/2018	Individual	Craig Glen Larrabee 65 Stone St Augusta, ME 04330	JMG/CEO	\$ 100.00
12/30/2018	Individual	Alan D MacEwan 74 Falmouth Rd Falmouth, ME 04105	Drummond Woodsum/Attorney	\$ 100.00
12/30/2018	Individual	Patrick K McGowan 425 Lovejoy Pond Rd Fayette, ME 04349	PK Floats Inc./Manufacturing executive	\$ 100.00
12/30/2018	Individual	Barry K Mills PO Box 812 Blue Hill, ME 04614	Hale & Hamlin LLC/Lawyer	\$ 100.00
12/30/2018	Individual	William Miro 31 Old Blue Point Rd Scarborough, ME 04074	PCS Family Homes/Housing	\$ 1,000.00
12/30/2018	Individual	Charles Morrison 46 Lake St Auburn, ME 04210	Androscoggin Bank/Banker	\$ 100.00
12/30/2018	Individual	Wendy Lee Pieh 123 Rial Herald Rd Bremen, ME 04551	Self/Farmer	\$ 100.00
12/30/2018	Individual	Roxanne Quimby 216 Angler Ave Palm Beach, FL 33480	Not employed/Not employed	\$ 1,500.00

## 2019 Gubernatorial Transition Inaugural Committee Financial Disclosure Statement Cash Donations

12/30/2018	Individual	Lisa R Reece 320 Robinhood Rd Georgetown, ME 04548	Brunswick Parks and Recreation/Youth sports coach	\$ 100.00
12/30/2018	Individual	Roger Renfrew PO Box 546 Skowhegan, ME 04976	Not Employed/Not Employed	\$ 100.00
12/30/2018	Individual	Mary Kate Reny 21 Spruce Point Rd Bremen, ME 04551	Renys/Retail	\$ 500.00
12/30/2018	Individual	Will Robinson 183 Brackett St Unit 302 Portland, ME 04102	New Media Firm/Media Producer	\$ 100.00
12/30/2018	Individual	Anne Roosevelt 667 Congress St Apt 306 Portland, ME 04101	goodwill nne/executive	\$ 250.00
12/30/2018	Individual	Roger Spear 13908 Southampton Dr Apt 3204 Bonita Springs, FL 34135	Not Employed/Not Employed	\$ 75.00
12/30/2018		Robert Steinberg PO Box 589 Belgrade Lakes, ME 04918	Not Employed/Not Employed	\$ 100.00
12/30/2018	Individual	David Tenney PO Box 7089 Cape Porpoise, ME 04014	Not Employed/Not Employed	\$ 100.00
12/30/2018	Individual	F Mark Terison 135 Field Rd Falmouth, ME 04105	Self/Attorney	\$ 100.00

## 2019 Gubernatorial Transition Inaugural Committee Financial Disclosure Statement Cash Donations

12/30/2018	Individual	John Towers 34 Winding Way Harpwell, ME 04079	Self/Island caretaker	\$ 75.00
12/30/2018	Individual	Ellen Wells 4 Ccia Rd South Bristol, ME 04568	None/Not employed	\$ 100.00
12/30/2018	Individual	Stephen L. Wessler 668 PO Mount Desert, ME 04660	self/consultant	\$ 75.00
12/30/2018	Individual	Margaret Whalen PO Box 963 Blue Hill, ME 04614	Not Employed/Not Employed	\$ 100.00
12/30/2018	Individual	Joseph Zamboni 25 Catherine St Portland, ME 04102	Maine Ombudsman program/Ombudsman	\$ 100.00
12/31/2018	Individual	Betsy Biemann 34 Wildberry Ln Brunswick, ME 04011	Coastal Enterprises Inc./Economic Development	\$ 75.00
12/31/2018	Individual	William S Harwood 66 E Elm St Yarmouth, ME 04096	Verrill Dana/Lawyer	\$ 150.00
12/31/2018	Individual	Samuel S May 100 Vaughan St Portland, ME 04102	Not Employed/Not Employed	\$ 250.00
01/05/2019	Individual	Charles Bernacchio 31 Falmouth Rd Falmouth, ME 04105	Not Employed/Retired	\$ 100.00

## 2019 Gubernatorial Transition Inaugural Committee Financial Disclosure Statement Cash Donations

01/05/2019	Individual	Bruce Carlson PO Box 601 Springvale, ME 04083	Not Employed/N/A	\$ 100.00
01/05/2019	Individual	Wayne Davis 386 Pond Rd Mount Vernon, ME 04352	Not Employed/Retired	\$ 100.00
01/05/2019	Individual	William Dubord 44 Elm St Waterville, ME 04901	Marden, Dubord, Bernier & Stevens Pa L/Attorney/Legal	\$ 100.00
01/06/2019	Individual	George L. Jacobson Jr 75 Forest Ave Orono, ME 04473	Not Employed/Not Employed	\$ 100.00
01/06/2019	Individual	Chellie Pingree 138 Turner Farm Rd North Haven, ME 04853	US Congress/Member of Congress	\$ 250.00
01/06/2019	Individual	Ellen Rosenblum 3315 SW Corbett Ave Portland, OR 97239	State of Oregon/Oregon Attorney General	\$ 100.00
01/06/2019	Individual	Robert Santomena PO Box 3E23 South Freeport, ME 04078	retired/retired	\$ 25.00
01/10/2019	Individual	Greg Paxton 87 W Elm St Yarmouth, ME 04096	Not Employed/Retired	\$ 100.00
01/13/2019	Individual	Ronald Lee Breazeale	self/psychologist	\$ 100.00

2019 Gubernatorial Transition Inaugural Committee Financial Disclosure Statement Cash Donations

01/13/2019	Individual	Averil Fessenden Brunswick, ME 04011	Not Employed/Not Employed	\$ 100.00
01/13/2019	Individual	Mark Lavoie 17 Liberty Way Portland, ME 04103	Norman Hanson & DeTroy is/Lawyer	\$ 100.00
01/13/2019	Individual	Robert Santomenna PO Box 3E23 South Freeport, ME 04078	retired/retired	\$ 25.00
01/13/2019	Individual	Cynthia Stroud PO Box 179 Brooklin, ME 04616	Self/Artist	\$ 75.00
02/09/2019	Individual	Robert Santomenna PO Box 3E23 South Freeport, ME 04078	retired/retired	\$ 25.00
02/15/2019	Contributors Giving \$50 or Less	Total of contributions \$50.00 or less		\$ 2,411.00
<b>Total Cash Donations</b>				<b>\$ 16,561.00</b>

## 2019 Gubernatorial Transition Inaugural Committee Financial Disclosure Statement Expenditures

Date Made	Payee Type	Payee	Description	Amount
12/23/2018	Business	ActBlue 366 Summer St Somerville, MA 02144	Service Fee	\$ 902.35
12/27/2018	Business	American Airlines 4333 Amon Carter Blvd Fort Worth, TX 76155	Airfare-- reimbursed to Jeann	\$ 398.39
12/30/2018	Business	ActBlue 366 Summer St Somerville, MA 02144	Service Fee	\$ 347.96
12/30/2018	Individual	Jeremy Kane Kennedy 53 Thomas St Unit 3 Portland, ME 04102	Salary	\$ 1,849.88
12/30/2018	Individual	Scott Ogden 105 Pine St Apt 3 Portland, ME 04102	Salary	\$ 992.36
12/30/2018	Business	Payroll Data Processing 4224 Henderson Blvd Tampa, FL 33629	Payroll Taxes	\$ 1,869.64
12/30/2018	Individual	Michael Perry 187 Union St Apt 3 Bangor, ME 04401	Salary	\$ 1,380.31

## 2019 Gubernatorial Transition Inaugural Committee Financial Disclosure Statement Expenditures

12/30/2018	Business	Staples 14 Crossing Way Ste 3 Augusta, ME 04330	printer toner and paper	\$ 491.98
12/31/2018	Business	ActBlue 366 Summer St Somerville, MA 02144	Service Fee	\$ 20.76
01/06/2019	Business	ActBlue 366 Summer St Somerville, MA 02144	Service Fee	\$ 25.11
01/13/2019	Business	ActBlue 366 Summer St Somerville, MA 02144	Service Fee	\$ 26.92
01/27/2019	Business	ActBlue 366 Summer St Somerville, MA 02144	Service Fee	\$ 0.40
01/31/2019	Business	TD Bank 101 Western Ave Augusta, ME 04330	Bank Fee	\$ 2.00
02/01/2019	Business	NGP Van Inc. PO Box 392264 Pittsburgh, PA 15251	Database Software	\$ 1,287.50
02/10/2019	Business	ActBlue 366 Summer St Somerville, MA 02144	Service Fee	\$ 1.39

2019 Gubernatorial Transition Inaugural Committee Financial Disclosure Statement Expenditures

02/15/2019		Mills Inaugural Committee, PO Box 5115, Augusta, ME 04332	Donation	\$ 12,690.25
		<b>Total Payments</b>		<b>\$ 22,287.20</b>



**Committee Name:** Mills Inaugural Committee  
**Report Name:** Second Supplementary Report  
**Reporting Period:** May 2, 2019 - July 26, 2019  
**Due Date:** Friday, July 26, 2019

### Financial Activity Summary

<b>Donations</b>	<b>Report Total</b>	<b>Committee Total</b>
Cash Donations	\$ 10,500.00	\$ 352,419.85
Other Receipts (Interest, etc.)	\$ -	\$ 45,740.00
<b>Total Donations</b>	<b>\$ 10,500.00</b>	<b>\$ 398,159.85</b>
<b>Payments</b>	<b>Report Total</b>	<b>Committee Total</b>
<b>Total Payments</b>	<b>\$ 38,177.03</b>	<b>\$ 373,097.39</b>
<b>Cash Summary</b>	<b>Report Total</b>	<b>Committee Total</b>
Previous Cash Balance	\$ 52,739.49	
<i>Plus</i> Total Donations	\$ 10,500.00	\$ 398,159.85
<i>Minus</i> Total Payments	\$ 38,177.03	\$ 373,097.39
<b>Cash Balance at End of Period</b>	<b>\$ 25,062.46</b>	
<b>Other Activity</b>	<b>Report Total</b>	<b>Committee Total</b>
In-Kind Donations	\$ -	\$ 16,862.97

Date Received	Donor Type	Donor	Donor Employer	Donor Occupation	Amount
07/17/2019	Individual	Brenda Garrand 6 Patridge Circle Portland, ME 04102	Garrand Moehlenkamp	Marketing Executive	\$ 500.00
07/19/2019	Business	IDEXX Laboratories, Inc. 1 IDEXX Drive Westbrook, ME 04092			\$ 10,000.00
<b>Total Cash Donations</b>					<b>\$ 10,500.00</b>

<b>Date Made</b>	<b>Payee Type</b>	<b>Payee</b>	<b>Description</b>	<b>Amount</b>
05/28/2019	Individual	Megan Gean-Gendron 11 Sentry Hill Road York, ME 03909	Fundraising Consultant	\$ 5,000.00
05/29/2019	Business	TD Bank 101 Western Avenue Augusta, ME 04330	Bank Fee	\$ 25.00
05/31/2019	Business	Harland Clarke 15955 La Cantara Parkway San Antonio, TX 78256	Banking supplies	\$ 26.25
05/31/2019	Business	TD Bank 101 Western Avenue Augusta, ME 04330	Bank Fee	\$ 2.00
06/10/2019	Business	NGP VAN or Everyaction 48 Grove St. #202 Somerville, MA 02144	Database charge	\$ 300.00
06/19/2019	Business	Brann & Isaacson P.O. Box 3070 Lewiston, ME 04243	Legal Services	\$ 1,250.00
06/28/2019	Individual	TD Bank 101 Western Avenue Augusta, ME 04330	Bank Fee	\$ 2.00
07/01/2019	Business	Megan Gean-Gendron 11 Sentry Hill Road York, ME 03909	Fundraising Consultant	\$ 4,000.00
07/10/2019	Business	NGP VAN or Everyaction 48 Grove St. #202 Somerville, MA 02144	Database charge	\$ 300.00
07/19/2019	Business	Brann & Isaacson P.O. Box 3070 Lewiston, ME 04243	Legal Services	\$ 2,256.78
07/19/2019	Business	City of Augusta 16 Cony Street Augusta, ME 04330	Catering, Fees, Rentals, Staff	\$ 25,000.00
07/19/2019	Business	<i>City of Augusta 16 Cony Street Augusta, ME 04330</i>	<i>Catering, Fees, Rentals, Staff (amount not final and not included in financial activity totals</i>	\$ 94,901.70
07/22/2019	Business	TD Bank 101 Western Avenue Augusta, ME 04330	Bank Fee	\$ 15.00
<b>Total Payments</b>				<b>\$ 38,177.03</b>