Commission Decisions on Waiver Requests – PACs or BQCs that were Late in Sending Notice to a Major Contributor or to the Commission

PAC or BQC that received contributions exceeding \$100,000	Major Contributor	Date PAC or BQC should have transmitted notice	Number of days PAC/BQC transmitted notice late	Total contributions that triggered notice req't	Preliminary penalty for sending notice late	Commission decision on penalty	Date of Commission decision
Mainers for Local Power	Calpine Corporation	12/24/2019	28	\$246,604	\$24,660	Combined	3/10/2020
Mainers for Local Power	Vistra Corp.	1/7/2020	23	\$287,707	\$28,770	penalty of \$2,5000	3/10/2020
Maine Street Solutions – Protect Schools	Pfizer, Inc.	2/10/2020	1	\$250,000	\$25,000	\$2,000	5/27/2020
Clean Energy Matters	Avangrid Inc.	11/23/2020	60	\$813,000	\$50,000	\$2,500	3/31/2021
We the People	Liberty Initiative Fund— Maine	11/8/2020	n/a (never transmitted to Ethics Comm.)	\$115,000	\$11,500	\$2,500	5/26/2021

Waiver Request Scheduled for August 23, 2021 Meeting (staff recommends combined penalty of \$2,500)

Mainers for Local Power	Calpine Corporation	1/20/2021	135	\$137,863	\$13,786.32	
Mainers for Local Power	Vistra Corp.	2/17/2021	107	\$135,119	\$13,511.88	