**Application Instructions for Maine Class I and Class IA RPS Certification**

Chapter 311 of the Commission’s Rules outlines the Maine Class I and Class IA certification process, including information required to include in a petition for Class I and/or Class IA RPS certification (see [Chapter 311](https://www.maine.gov/sos/cec/rules/65/407/407c311.docx)) .

Petitions must include all information the rule requires. There is no prescribed application form, however applicants may choose to use the form provided. Petitions must be submitted through the Commission’s electronic Case Management System (CMS).

As stated in Chapter 311, § 3(C)(2)., the Petition for certification shall include:

(i) Name and address of petitioner (please also include the email and phone number of the person responsible for providing additional information related to this application);

(ii) Location of the generation facility;

(iii) Description of the generation facility, including fuel type, capacity and initial commercial operation date;

(iv) The new renewable capacity resource category for which certification is sought (as defined in Chapter 311 §2(T), *see list of new renewable capacity resource categories below*);

(v) Demonstration of qualification for a comparable portfolio requirement in another state, if applicable;

(vi) Historical output and means to determine incremental output, if certification is sought for a facility added to an existing facility;

(vii) The time period for which the facility did not operate, the reasons the facility did not operate and the date operation resumed; if certification is sought under resumed operations;

(viii) A description of facility refurbishment, the degree to which the useful life has been extended, and the use of an alternate technology and resulting efficiency increases, if certification is sought under refurbishment; and

(ix) Any other information that the Commission determines to be necessary or useful.

In addition, the Commission requires the following information:

1. What is the NEPOOL GIS (must start with IMP, MSS or NON) and/or NAR Generator ID number?
2. Will this facility serve load “behind the meter” (BTM) or be providing kilowatt-hour (kWh) credits under Maine’s Net Energy Billing (NEB) Kilowatt-Hour Program?
3. Will this facility be participating in the NEB Tariff Rate Program?
4. If the facility is a hydroelectric facility, the commission requires a statement that the facility is in compliance with all applicable state and federal fish passage requirements.

# New renewable capacity resource categories (as found in Chapter 311 §2(T))

"New" as applied to a renewable capacity resource means qualified hydroelectric output or a renewable capacity resource that:

 (1) Has an in-service date after September 1, 2005;

 (2) Was added to an existing facility after September 1, 2005;

 (3) For at least 2 years was not operated or was not recognized by the New England independent system operator as a capacity resource and, after September 1, 2005, resumed operation or was recognized by the New England independent system operator as a capacity resource. For the purposes of this subparagraph, “capacity resource” has the same meaning as in 3210-C, subsection 1, paragraph A; or

(4) Was refurbished after September 1, 2005 and received certification from the commission:

(a) Before September 1, 2019, that it is operating beyond its previous useful life or is employing an alternate technology that significantly increases the efficiency of the generation process; or

(b) On or after September 1, 2019, that it is operating beyond its previous useful life as evidenced by a finding that the facility would be reasonably likely to cease operation if not for substantial capital investment made after September 1, 2018, except for capital investment required to meet state and federal fish passage standards.