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| --- | --- |
| **Competitive Electricity Provider (CEP) Name** |  |
| **Licensing Docket Number** |  |
| **Third-Party Sales Agent (TPSA) Name** |  |

Complete one copy of the following table for each TPSA that the CEP plans to use. Do not answer any question “Not Applicable” as the information is required by Commission rules – a negative response should be “None”. If responses are attached, please be sure to include the TPSA name and response number on each page of document.

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| **Response Number** | **Required Information per Chapter 305** | **Answer or Attachment Reference** |
| 1. | TPSA complete incorporated name and all d/b/a’s to be used in Maine |  |
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| 2. | TPSA Corporate Address |  |
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| 3. | Provide a sworn statement by TPSA attesting to its understanding of its compliance obligation with the State of Maine’s door-to-door sales law <https://www.mainelegislature.org/legis/statutes/32/title32ch128sec0.html> , the Maine Unfair Practices Act <https://legislature.maine.gov/statutes/5/title5ch10sec0.html>, and the applicable Commission rules. <https://www.maine.gov/mpuc/legislative/laws-rules>  |  |
|  |  |  |
| 4. | TPSA’s transient seller license number issued by the Department of Professional and Financial Regulation pursuant to Title 32, chapter 128, subchapter 2 [Title 32, Chapter 128: REGULATION OF TRANSIENT SALES (maine.gov)](https://legislature.maine.gov/statutes/32/title32ch128sec0.html) |  |
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| 5. | All legal actions, including civil court or regulatory enforcement proceedings, criminal prosecutions, as well as customer complaints, filed against each TPSA at a judicial or regulatory body other than the Maine Public Utilities Commission within the last six years prior to the date of the applicable license or registration application or currently pending that relate to or arise out of the sale of electricity, the sale of natural gas, the provision of utility services, business fraud, or unfair or deceptive sales practices |  |
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| 6. | Provide a sworn statement by TPSA acknowledging that it submits to the jurisdiction of the courts of the State of Maine and the Maine Public Utilities Commission |  |