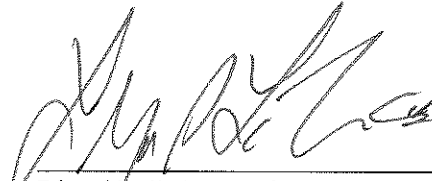


**SUPERINTENDENT'S NOTICE  
TO INTERESTED PARTIES**

The Charles Schwab Corporation, San Francisco, California, has applied to the Maine Bureau of Financial Institutions Superintendent pursuant to Title 9-B M.R.S. Chapter 101 to acquire indirect control of TD Ameritrade Trust Company, a Maine nondepository trust company. Indirect control would arise through the acquisition of TD Ameritrade Holding Corporation, Omaha, Nebraska, and its subsidiary TD Ameritrade Online Holdings Corporation, Omaha, Nebraska, the sole shareholder of TD Ameritrade Trust Company. The indirect acquisition of control of TD Ameritrade Trust Company would only occur if and when The Charles Schwab Corporation successfully acquires control of TD Ameritrade Holding Corporation.

The public is invited to submit written comments on this application to the Bureau of Financial Institutions, 36 State House Station, Augusta, Maine 04333-0036 or electronically through the Bureau of Financial Institutions' website at [www.maine.gov/pfr/financialinstitutions](http://www.maine.gov/pfr/financialinstitutions). A hearing on this application will be held by the Superintendent, as provided in Title 9-B M.R.S. § 252, upon receipt of a bona fide and reasonable request. The Bureau will accept written comments and requests for a hearing through Sunday, July 5, 2020. The Superintendent's decision on this application will be based on the decision-making criteria set forth in Title 9-B M.R.S. § 253.



---

Lloyd P. LaFountain III  
Superintendent

Gardiner, Maine  
June 5, 2020